

# THE FUNDAMENTAL GROUPOID AND THE POSTNIKOV TOWER

18.904

## I. NUMERICALLY GENERATED SPACES

Let us agree now that the word *space* means “topological space,” and the word *map* means “continuous map.” If we wish to speak of an ordinary mapping between sets, with no continuity demands, we will use the phrase *set map*.

1.1. **Definition.** For any space  $Y$ , a *test map* is a map  $V \rightarrow Y$ , where  $V$  is an open subset of some Euclidean space  $\mathbf{R}^N$ .

Suppose  $X$  a (topological) space. A subset  $U \subset X$  is *numerically open* if for any test map  $\phi: V \rightarrow X$ , the inverse image  $\phi^{-1}(U) \subset V$  is open.

1.2. **Lemma.** *Any open set of a space is numerically open; however, there exist spaces that contain numerically open sets that are not open.*

1.3. **Definition.** We will say that a space  $X$  is *numerically generated* if every numerically open set is open.

1.4. **Example.** *Any open subset of a Euclidean space  $\mathbf{R}^N$  is numerically generated.*

1.5. **Lemma.** *Any open subset of a numerically generated space is numerically generated.*

1.6. **Lemma.** *Suppose  $X$  and  $Y$  numerically generated spaces. Then a function  $X \rightarrow Y$  is continuous just in case, for any test map  $V \rightarrow X$ , the composite  $V \rightarrow Y$  is continuous.*

1.7. **Proposition.** *The disjoint union of any family of numerically generated spaces is numerically generated.*

1.8. **Notation.** Let us write  $I := [0, 1] \subset \mathbf{R}$ .

1.9. **Proposition.** *The following are equivalent for a space  $X$ .*

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(1.9.1)  $X$  is numerically generated.

(1.9.2) A subset  $U \subset X$  is open if, for any map  $\phi: I \rightarrow X$ , the inverse image  $\phi^{-1}(U) \subset I$  is open.

(1.9.3) A subset  $U \subset X$  is open if, for any map  $\phi: \mathbf{R} \rightarrow X$ , the inverse image  $\phi^{-1}(U) \subset \mathbf{R}$  is open.

1.10. **Example.** The poorly named “topologist’s sine curve”

$$\{(x, y) \in \mathbf{R}^2 \mid [x \neq 0] \wedge [y = \sin(1/x)]\} \cup \{(0, 0)\} \subset \mathbf{R}^2$$

is not numerically generated.

1.11. **Proposition.** The collection of numerically open subsets of a space  $X$  form a new topology that is as fine as the original topology on  $X$ .

1.12. **Definition.** Suppose  $X$  a space. The set  $X$  equipped with the topology on a space  $X$  given by the previous proposition will be called the *numericalization* of  $X$ , and it will be denoted  $X^\sharp$ . (So an open set of  $X^\sharp$  is precisely a numerically open set of  $X$ .)

1.13. **Proposition.** For any space  $X$ , the space  $X^\sharp$  is numerically generated. Furthermore, the identity on  $X$  is a map  $j_X: X^\sharp \rightarrow X$  with the following property: for any numerically generated space  $T$  and any map  $g: T \rightarrow X$ , there exists a unique map  $g^\sharp: T \rightarrow X^\sharp$  such that the triangle

$$\begin{array}{ccc} & X^\sharp & \\ g^\sharp \nearrow & & \searrow j \\ T & \xrightarrow{g} & X. \end{array}$$

commutes.

1.13.1. **Corollary.** For any space  $X$ , one has

$$(X^\sharp)^\sharp = X^\sharp.$$

1.13.2. **Corollary.** For any map  $g: X \rightarrow Y$ , there exists a unique map

$$g^\sharp: X^\sharp \rightarrow Y^\sharp$$

such that following diagram commutes:

$$\begin{array}{ccc} X^\sharp & \xrightarrow{g^\sharp} & Y^\sharp \\ j_X \downarrow & & \downarrow j_Y \\ X & \xrightarrow{g} & Y. \end{array}$$

1.14. **Example.** Consider  $\mathbf{Q} \subset \mathbf{R}$  with its subspace topology. Then  $\mathbf{Q}$  is not numerically generated, as  $\mathbf{Q}^\sharp$  is discrete.

1.15. **Definition.** Suppose that  $X$ ,  $Y$ , and  $Z$  are three sets, and suppose that  $p: X \rightarrow Z$  and  $q: Y \rightarrow Z$  are two maps of sets. Then the subset

$$X \times_Z Y := \{(x, y) \in X \times Y \mid p(x) = q(y)\} \subset X \times Y$$

is called the *fiber product of  $X$  and  $Y$  over  $Z$* . (When  $Z$  is the one-point space  $*$ , of course  $X \times_Z Y = X \times Y$ .)

Suppose  $X$ ,  $Y$ , and  $Z$  numerically generated spaces, and suppose that  $p$  and  $q$  are continuous. If we endow  $X \times Y$  with the product topology, then we can equip  $X \times_Z Y$  with the subspace topology. However, we will go one step further and consider the numericalization of these topologies. We will just denote the resulting numerically generated spaces as

$$X \times_Z Y \subset X \times Y$$

(without any further decoration). We will call this the *numerically generated fiber product of  $X$  and  $Y$  over  $Z$* .

1.16. **Notation.** For any spaces  $X$  and  $Y$ , write  $\text{Map}(X, Y)$  for the set of maps  $X \rightarrow Y$ .

1.17. **Proposition.** Suppose that  $X$ ,  $Y$ , and  $Z$  are numerically generated spaces, and suppose that  $p: X \rightarrow Z$  and  $q: Y \rightarrow Z$  are two maps. Then the numerically generated fiber product  $X \times_Z Y$  enjoys the following universal property: for any numerically generated space  $U$ , the maps  $X \times_Z Y \rightarrow X$  and  $X \times_Z Y \rightarrow Y$  induce a bijection

$$\text{Map}(U, X \times_Z Y) \xrightarrow{\sim} \text{Map}(U, X) \times_{\text{Map}(U, Z)} \text{Map}(U, Y).$$

1.18. **Definition.** Suppose  $X$  and  $Y$  two numerically generated spaces. For any compact subset  $K \subset X$ , and any open subset  $W \subset Y$ , write

$$U(K, W) := \{g \in \text{Map}(X, Y) \mid \forall x \in K, g(x) \in W\}.$$

Then we may generate a topology on  $\text{Map}(X, Y)$  by the subbase consisting of all the sets  $U(K, W)$ , called the *compact-open topology*. Again we will go one step

further and consider the numericalization  $\text{Map}(X, Y)$  of this space. We will call this the *numerically generated mapping space* from  $X$  to  $Y$ .

**1.19. Proposition.** *Suppose that  $X$ ,  $Y$ , and  $Z$  are numerically generated spaces. Then there is a natural homeomorphism*

$$\text{Map}(X \times Y, Z) \cong \text{Map}(X, \text{Map}(Y, Z)).$$

## 2. EXISTENCE AND CONNECTEDNESS

**2.1. Notation.** For any set  $S$ , denote by  $S^\delta$  the set  $S$  equipped with the discrete topology. Note that  $S^\delta$  is numerically generated. For any set map  $F: S \rightarrow T$ , we denote the corresponding map of spaces  $S^\delta \rightarrow T^\delta$  by  $F^\delta$ .

**2.2. Definition.** Suppose  $X$  a space. Consider the equivalence relation  $\sim$  on the points of  $X$  generated by declaring that  $x \sim y$  if there exists a map  $\gamma: I \rightarrow X$  such that  $\gamma(0) = x$  and  $\gamma(1) = y$ . Write  $\pi_0 X$  for the set of equivalence classes of points of  $X$  under this equivalence relation. The elements of  $\pi_0 X$  will be called *path components* of  $X$ . Write  $p_X$  for the set map  $X \rightarrow \pi_0 X$  that carries a point of  $X$  to its equivalence class.

**2.3. Example.** *For any set  $S$ , one has  $\pi_0(S^\delta) = S$ . Any Euclidean space  $\mathbf{R}^N$  has  $\pi_0 \mathbf{R}^N = \{*\}$ .*

**2.4. Theorem.** *Suppose  $X$  a numerically generated space. Then the set map  $p_X$  is continuous as a map  $X \rightarrow (\pi_0 X)^\delta$ . Furthermore, it has the following universal property: for any set  $S$  and any map  $g: X \rightarrow S^\delta$ , there exists a unique set map  $\pi_0 g: \pi_0 X \rightarrow S$  such that the following diagram commutes:*

$$\begin{array}{ccc} & X & \\ p_X \swarrow & & \searrow g \\ (\pi_0 X)^\delta & \xrightarrow{\pi_0 g} & S^\delta \end{array}$$

**2.4.1. Corollary.** *For any map  $g: X \rightarrow Y$  between numerically generated spaces, there exists a unique set map*

$$\pi_0 g: \pi_0 X \rightarrow \pi_0 Y$$

such that following diagram commutes:

$$\begin{array}{ccc} X & \xrightarrow{g} & Y \\ p_X \downarrow & & \downarrow p_Y \\ (\pi_0 X)^\delta & \xrightarrow{(\pi_0 g)^\delta} & (\pi_0 Y)^\delta. \end{array}$$

2.4.2. **Corollary.** *The following are equivalent for a numerically generated space  $X$ .*

- (2.4.2.1) *The set  $\pi_0 X$  consists of exactly one point.*
- (2.4.2.2) *There exists a point  $x \in X$  such that for any point  $y \in X$ , there exists a map  $\gamma: I \rightarrow X$  such that  $\gamma(0) = x$  and  $\gamma(1) = y$ .*
- (2.4.2.3) *There is exactly one nonempty subset of  $X$  that is both open and closed.*

2.5. **Example.** *The (still poorly named) “topologist’s sine curve” of Ex. 1.10 satisfies condition (2.4.2.3) but not condition (2.4.2.2).*

2.6. **Definition.** A numerically generated space will be said to be *connected* if the equivalent conditions of Cor. 2.4.2 hold.

2.7. **Example.** *The empty space is not connected.*

2.8. **Proposition.** *Suppose  $g: X \rightarrow Y$  a surjective map between numerically generated spaces. Then  $Y$  is connected if  $X$  is.*

2.9. **Example.** *For any natural number  $n \geq 1$ , the  $n$ -sphere*

$$\mathbf{S}^n := \{x \in \mathbf{R}^{n+1} \mid \|x\| = 1\}$$

*is connected. However,  $\mathbf{S}^0$  is not connected.*

2.10. **Lemma.** *For any numerically generated space  $X$ , the set map*

$$\pi_0 \text{id}_X: \pi_0 X \rightarrow \pi_0 X$$

*is the identity map.*

2.11. **Proposition.** *Suppose that  $X$ ,  $Y$ , and  $Z$  are numerically generated spaces, and suppose  $p: X \rightarrow Y$  and  $q: Y \rightarrow Z$  are two maps. Then the two set maps  $\pi_0 X \rightarrow \pi_0 Z$  given by  $\pi_0(q \circ p)$  and  $(\pi_0 q) \circ (\pi_0 p)$  are equal.*

2.11.1. **Corollary.** *If  $g: X \rightarrow Y$  is a homeomorphism between numerically generated spaces, then  $\pi_0 g: \pi_0 X \rightarrow \pi_0 Y$  is a bijection.*

2.12. **Example.** For any integer  $n \neq 1$ , the Euclidean spaces  $\mathbf{R}$  and  $\mathbf{R}^n$  are not homeomorphic.

2.13. **Example.** The capital letters  $\Gamma$  and  $X$  are not homeomorphic.

2.14. **Example.** For any integer  $n \neq 1$ , the Euclidean spaces  $\mathbf{S}^1$  and  $\mathbf{S}^n$  are not homeomorphic.

2.15. **Proposition.** For any numerically generated space  $X$  and any set  $S$ , the numerically generated space  $\text{Map}(X, S^\delta)$  is discrete.

2.15.1. **Corollary.** For any numerically generated spaces  $X$  and  $Y$ , the two maps  $X \times Y \rightarrow X$  and  $X \times Y \rightarrow Y$  together induce a bijection

$$\pi_0(X \times Y) \xrightarrow{\cong} \pi_0 X \times \pi_0 Y.$$

2.16. **Proposition.** For any family  $\{X_i\}$  of numerically generated spaces, the inclusions  $X_i \hookrightarrow \coprod_i X_i$  together induce a bijection

$$\prod_i \pi_0(X_i) \cong \pi_0 \left( \prod_i X_i \right).$$

2.17. **Definition.** For any two numerically generated spaces  $X$  and  $Y$ , we will say that two maps  $p, q: X \rightarrow Y$  are *homotopic* if the images of  $p$  and  $q$  in  $\pi_0 \text{Map}(X, Y)$  are equal. In this case we write  $p \simeq q$ .

2.18. **Lemma.** Two maps  $p, q: X \rightarrow Y$  are homotopic just in case there exists a map

$$h: X \times I \rightarrow Y$$

such that for any  $x \in X$ , one has

$$h(x, 0) = p(x) \quad \text{and} \quad h(x, 1) = q(x).$$

2.19. **Definition.** We say that a map  $\phi: X \rightarrow Y$  between numerically generated spaces is a *homotopy equivalence* if there exists a map  $\psi: Y \rightarrow X$  such that both  $\psi \circ \phi \simeq \text{id}_X$  and  $\phi \circ \psi \simeq \text{id}_Y$ .

2.20. **Proposition.** A homotopy equivalence  $X \rightarrow Y$  between numerically generated spaces induces a bijection

$$\pi_0 X \xrightarrow{\cong} \pi_0 Y.$$

## 3. GROUPOIDS AND GROUPS

3.1. **Notation.** Suppose that  $X, Y,$  and  $Z$  are three sets, and suppose that  $p: X \rightarrow Z$  and  $q: Y \rightarrow Z$  are two set maps. Should we need to emphasize the role of the set maps  $p$  and  $q$ , we will denote the fiber product of  $X$  and  $Y$  over  $Z$  as

$$X \times_{p,Z,q} Y.$$

We will write

$$\text{pr}_1: X \times_{p,Z,q} Y \rightarrow X$$

for the projection  $(x, y) \mapsto x$  and

$$\text{pr}_2: X \times_{p,Z,q} Y \rightarrow Y$$

for the projection  $(x, y) \mapsto y$ .

3.2. **Definition.** A *groupoid*  $\Gamma = (M, O, s, t, i, c)$  consists of the following data:

- (3.2.A) a set  $M$ , whose elements are called *isomorphisms* or *paths*,
- (3.2.B) a set  $O$ , whose elements are called *objects*,
- (3.2.C) two set maps  $s, t: M \rightarrow O$ , which are called *source* and *target*, respectively,
- (3.2.D) a set map  $i: O \rightarrow M$ , called the *identity*, and
- (3.2.E) a set map

$$c: M \times_{s,O,t} M \rightarrow M,$$

called *composition*.

These data are subject to the following axioms.

- (3.2.1) One has  $s \circ i = t \circ i = \text{id}$ .
- (3.2.2) One has

$$s \circ c = s \circ \text{pr}_1 \quad \text{and} \quad t \circ c = t \circ \text{pr}_2.$$

- (3.2.3) If  $\phi \in M$ , then

$$c(i(t(\phi)), \phi) = \phi \quad \text{and} \quad c(\phi, i(s(\phi))) = \phi.$$

- (3.2.4) For any elements  $\phi, \chi, \psi \in M$  such that  $s(\phi) = t(\chi)$  and  $s(\chi) = t(\psi)$ , we have

$$c(\phi, c(\chi, \psi)) = c(c(\phi, \chi), \psi).$$

- (3.2.5) For any element  $\phi \in M$ , there exists an element  $\phi^{-1} \in M$  such that both

$$s(\phi) = t(\phi^{-1}) \quad \text{and} \quad t(\phi) = s(\phi^{-1}),$$

and both

$$c(\phi, \phi^{-1}) \quad \text{and} \quad c(\phi^{-1}, \phi)$$

are in the image of  $i$ .

**3.3. Notation.** In a groupoid  $\Gamma = (M, O, s, t, i, c)$ , if  $\phi, \psi \in M$  are morphisms such that  $s(\phi) = t(\psi)$ , then we typically write

$$\phi \circ \psi := c(\phi, \psi).$$

Furthermore, for any two objects  $x, y \in O$ , we will denote by

$$\text{Isom}_\Gamma(x, y)$$

for the fiber of the map  $(s, t): M \rightarrow O \times O$  over the point  $(x, y)$ . An element  $\gamma \in \text{Isom}_\Gamma(x, y)$  will typically be denoted

$$\gamma: x \xrightarrow{\sim} y.$$

**3.4. Lemma.** *A groupoid is precisely the same thing as a category in which every morphism is isomorphism.*

**3.5.** In general, when we specify a groupoid, we simply describe the objects, we describe the set of isomorphisms between any two objects, and, if necessary, we describe the composition.

**3.6. Example.** *For any set  $S$ , we obtain a groupoid  $S^\delta = (S, S, \text{id}, \text{id}, \text{id}, \text{id})$ , which we may call the discrete groupoid corresponding to  $S$ .*

**3.7. Example.** *We may consider the groupoid  $\Sigma$  of finite sets: the objects are finite sets, and an isomorphism*

$$S \xrightarrow{\sim} T$$

*is simply a bijection.*

**3.8. Example.** *If  $k$  is a field, we may consider  $\mathbf{Vect}(k)$ , the groupoid of finite dimensional vector spaces: the objects are finite dimensional vector spaces over  $k$ , and an isomorphism*

$$V \xrightarrow{\sim} W$$

*is simply an isomorphism of  $k$ -vector spaces.*

**3.9. Example.** *A group  $G$  gives rise to a groupoid (which we will also denote  $G$ )*

$$(G, *, !, !, e, c),$$

*where  $*$  denotes a set with one element,  $!$  denotes the unique map  $G \rightarrow *$ , the map  $e: * \rightarrow G$  carries the unique element of  $*$  to  $e \in G$ , and the map*

$$c: G \times G \rightarrow G$$

*is given by  $c(g, h) = gh$ . So  $\text{Isom}_G(*, *) \cong G$ .*

*Every groupoid with exactly one object is of this form, so a group is nothing more than a groupoid with exactly one object.*



3.10. **Example.** Suppose  $\Gamma = (M, O, s, t, i, c)$  and  $\Gamma' = (M', O', s', t', i', c')$  two groupoids; then the product

$$\Gamma \times \Gamma' = (M \times M', O \times O', s \times s', t \times t', i \times i', c \times c'),$$

is a groupoid.

3.11. **Definition.** If  $\Gamma = (M, O, s, t, i, c)$  is a groupoid and  $x \in O$  an object, then the composition law

$$M \times_{s, O, t} M \longrightarrow M$$

restricts to a group law  $\text{Isom}_\Gamma(x, x) \times \text{Isom}_\Gamma(x, x) \longrightarrow \text{Isom}_\Gamma(x, x)$ . This group is called the *isotropy group*  $\Gamma_x$  of  $\Gamma$  at  $x$ .

3.12. **Example.** Suppose  $G$  a group, and suppose  $X$  a  $G$ -set, i.e., a set with an action of  $G$  on the left. Write  $\alpha$  for the action map  $G \times X \longrightarrow X$ . Then the action groupoid is the tuple

$$G \times X := (G \times X, X, \text{pr}_2, \alpha, i, c),$$

where  $i: X \longrightarrow G \times X$  is simply the map  $x \mapsto (e, x)$ , and the composition map

$$c: (G \times X) \times_{\text{pr}_2, X, \alpha} (G \times X) \longrightarrow G \times X$$

is given by the assignment  $(g, hy, h, y) \mapsto (gh, y)$ . So for any elements  $x, y \in X$ , we may identify

$$\text{Isom}_{G \times X}(x, y) \cong \{g \in G \mid gx = y\}.$$

The isotropy group of  $G \times X$  at a point  $x \in X$  is the stabilizer of  $x$ .

3.13. **Definition.** Suppose  $\Gamma = (M, O, s, t, i, c)$  and  $\Gamma' = (M', O', s', t', i', c')$  two groupoids; then a *morphism*  $F: \Gamma' \longrightarrow \Gamma$  of groupoids is a pair of maps  $F: M' \longrightarrow M$  and  $F: O' \longrightarrow O$  such that

$$F \circ s' = s \circ F, \quad F \circ t' = t \circ F, \quad F \circ i' = i \circ F,$$

and, for any  $\phi, \psi \in M$  with  $s(\phi) = t(\psi)$ , we have

$$F(c'(\phi, \psi)) = c(F(\phi), F(\psi)).$$

Composition of morphisms of groupoids is defined in the obvious manner, and a morphism  $F: \Gamma' \longrightarrow \Gamma$  of groupoids is said to be an *isomorphism* if there exists a morphism  $G: \Gamma \longrightarrow \Gamma'$  of groupoids such that  $G \circ F = \text{id}_{\Gamma'}$  and  $F \circ G = \text{id}_\Gamma$ .

3.14. **Example.** For any groupoid  $\Gamma$  and any object  $x$  thereof, the inclusion  $\Gamma_x \hookrightarrow \Gamma$  is a morphism of groupoids.

3.15. **Notation.** Suppose  $\Gamma = (M, O, s, t, i, c)$  and  $\Gamma' = (M', O', s', t', i', c')$  two groupoids. Then we may define a new groupoid  $\text{Mor}(\Gamma', \Gamma)$  as follows. The objects are morphisms of groupoids  $\Gamma' \rightarrow \Gamma$ , and for two morphisms  $F, G: \Gamma' \rightarrow \Gamma$  of groupoids, let

$$\text{Isom}_{\text{Mor}(\Gamma', \Gamma)}(F, G) \subset \prod_{x \in O'} \text{Isom}_{\Gamma}(Fx, Gx)$$

be the subset consisting of those tuples  $(\eta_x: Fx \xrightarrow{\sim} Gx)_{x \in O'}$  such that for any isomorphism  $\gamma: x \xrightarrow{\sim} y$  of  $\Gamma'$ , one has

$$G(\gamma) \circ \eta_x = \eta_y \circ F(\gamma).$$

3.16. **Proposition.** *Suppose  $\Gamma, \Gamma', \Gamma''$  three groupoids. Then there is a natural isomorphism of groupoids*

$$\text{Mor}(\Gamma'' \times \Gamma', \Gamma) \cong \text{Mor}(\Gamma'', \text{Mor}(\Gamma', \Gamma)).$$

3.17. **Notation.** Write  $\bar{1}$  for the groupoid that contains two objects 0 and 1 such that  $\text{Isom}_{\bar{1}}(x, y) = \{*\}$  for any  $x, y \in \{0, 1\}$ .

3.18. **Proposition.** *Suppose  $\Gamma$  and  $\Gamma'$  two groupoids, and suppose  $F, G: \Gamma' \rightarrow \Gamma$  two morphisms of groupoids. Then there is a natural bijection between*

$$\text{Isom}_{\text{Mor}(\Gamma', \Gamma)}(F, G)$$

*and the set of morphisms of groupoids*

$$H: \Gamma' \times \bar{1} \rightarrow \Gamma$$

*such that  $H|(\Gamma' \times \{0\}^\delta) = F$  and  $H|(\Gamma' \times \{1\}^\delta) = G$ .*

3.19. **Definition.** A morphism  $F: \Gamma' \rightarrow \Gamma$  of groupoids will be said to be an *equivalence of groupoids* if there exists a morphism  $G: \Gamma \rightarrow \Gamma'$  of groupoids such that both  $\text{Isom}_{\text{Mor}(\Gamma', \Gamma')}(id_{\Gamma'}, G \circ F)$  and  $\text{Isom}_{\text{Mor}(\Gamma, \Gamma)}(id_{\Gamma}, F \circ G)$  are nonempty. If such an equivalence exists, then  $\Gamma$  and  $\Gamma'$  are said to be *equivalent*.

3.20. **Definition.** Suppose  $\Gamma = (M, O, s, t, i, c)$  a groupoid. Consider the equivalence relation  $\sim$  on the objects of  $\Gamma$  given by declaring that  $x \sim y$  just in case the set  $\text{Isom}_{\Gamma}(x, y)$  is nonempty. Write  $\pi_0\Gamma$  for the set of equivalence classes of objects under this equivalence relation. The elements of  $\Gamma$  will be called *connected components* of  $\Gamma$ . Write  $p_{\Gamma}$  for the set map  $O \rightarrow \pi_0\Gamma$  that carries an object of  $\Gamma$  to its equivalence class.

3.21. **Example.** *For any set  $S$ , one has  $\pi_0(S^\delta) = S$ . Any group  $G$  has  $\pi_0 G = \{*\}$ .*

3.22. **Theorem.** For any groupoid  $\Gamma$ , the set map  $p_\Gamma$  extends uniquely to a morphism of groupoids  $\Gamma \rightarrow (\pi_0\Gamma)^\delta$ . Furthermore, it has the following universal property: for any set  $S$  and any morphism of groupoids  $F: \Gamma \rightarrow S^\delta$ , there exists a unique set map  $\pi_0 F: \pi_0\Gamma \rightarrow S$  such that the following diagram of groupoids commutes:

$$\begin{array}{ccc} & \Gamma & \\ p_\Gamma \swarrow & & \searrow F \\ (\pi_0\Gamma)^\delta & \xrightarrow{(\pi_0 F)^\delta} & S^\delta \end{array}$$

3.22.1. **Corollary.** For any morphism of groupoids  $F: \Gamma \rightarrow \Gamma'$ , there exists a unique set map

$$\pi_0 F: \pi_0\Gamma \rightarrow \pi_0\Gamma'$$

such that following diagram commutes:

$$\begin{array}{ccc} \Gamma & \xrightarrow{F} & \Gamma' \\ p_\Gamma \downarrow & & \downarrow p_{\Gamma'} \\ (\pi_0\Gamma)^\delta & \xrightarrow{(\pi_0 F)^\delta} & (\pi_0\Gamma')^\delta \end{array}$$

3.23. **Lemma.** For any groupoid  $\Gamma$ , the set map

$$\pi_0 \text{id}_\Gamma: \pi_0\Gamma \rightarrow \pi_0\Gamma$$

is the identity map.

3.24. **Lemma.** Suppose that  $\Gamma$ ,  $\Gamma'$ , and  $\Gamma''$  are groupoids, and suppose  $F: \Gamma' \rightarrow \Gamma$  and  $G: \Gamma'' \rightarrow \Gamma'$  are two maps. Then the two set maps  $\pi_0\Gamma'' \rightarrow \pi_0\Gamma$  given by  $\pi_0(F \circ G)$  and  $(\pi_0 F) \circ (\pi_0 G)$  are equal.

3.25. **Proposition.** An equivalence  $\Gamma' \rightarrow \Gamma$  between groupoids induces a bijection

$$\pi_0\Gamma' \xrightarrow{\simeq} \pi_0\Gamma.$$

3.25.1. **Corollary.** The following are equivalent for a groupoid  $\Gamma$ .

(3.25.1.1) The set  $\pi_0\Gamma$  consists of exactly one point.

(3.25.1.2) There exists an object  $x$  of  $\Gamma$  such that for any object  $y$  of  $\Gamma$ , the set  $\text{Isom}_\Gamma(x, y)$  is nonempty.

(3.25.1.3) There exists a group  $G$  and an equivalence of groupoids  $G \rightarrow \Gamma$ .

3.26. **Definition.** A groupoid will be said to be *connected* if the equivalent conditions of Cor. 3.25.1 hold.

**3.27. Proposition.** *A morphism  $F: \Gamma' \rightarrow \Gamma$  of groupoids is an equivalence if and only if the following two conditions obtain.*

(3.27.1) *The morphism  $F$  induces a bijection  $\pi_0 \Gamma' \rightarrow \pi_0 \Gamma$ .*

(3.27.2) *For any object  $x \in \Gamma'$ , the induced homomorphism  $\Gamma'_x \rightarrow \Gamma_{F(x)}$  is an isomorphism.*

#### 4. THE POINCARÉ GROUPOID AND THE FUNDAMENTAL GROUP

**4.1. Definition.** Suppose  $X$  a numerically generated space. Then a *path* in  $X$  from a point  $x$  to a point  $y$  is a map  $\gamma: I \rightarrow X$  such that  $\gamma(0) = x$  and  $\gamma(1) = y$ . The *space of paths* from  $x$  to  $y$  is the fiber  $P_{x,y}(X)$  of the map

$$\text{Map}(I, X) \rightarrow X \times X$$

given by  $\gamma \mapsto (\gamma(0), \gamma(1))$  (As usual, we use the numerically generated fiber product.)

**4.2. Proposition.** *Suppose  $X$  a numerically generated space, and suppose  $x, y, z \in X$ . Then the map*

$$c_{x,y,z}: P_{y,z}(X) \times P_{x,y}(X) \rightarrow P_{x,z}(X)$$

*given by the formula*

$$c_{x,y,z}(\beta, \alpha)(t) := \begin{cases} \alpha(2t) & \text{if } t \in [0, 1/2] \\ \beta(2t - 1) & \text{if } t \in [1/2, 1] \end{cases}$$

*is continuous.*

**4.3. Proposition.** *For any map  $g: X \rightarrow Y$  of numerically generated spaces, and for any points  $x, y \in X$  the map  $\text{Map}(I, X) \rightarrow \text{Map}(I, Y)$  restricts to a map*

$$g_*: P_{x,y}(X) \rightarrow P_{g(x),g(y)}(Y).$$

**4.4. Theorem.** *Suppose  $X$  a numerically generated space. Then there is a groupoid  $\Pi_1 X$  whose objects are points of  $X$ , in which*

$$\text{Isom}_{\Pi_1 X}(x, y) := \pi_0 P_{x,y}(X),$$

*and composition is given by taking  $\pi_0$  of the map  $c_{x,y,z}$  of the previous proposition:*

$$\pi_0 c_{x,y,z}: \pi_0 P_{y,z}(X) \times \pi_0 P_{x,y}(X) \cong \pi_0 (P_{y,z}(X) \times P_{x,y}(X)) \rightarrow \pi_0 P_{x,z}(X)$$

**4.5. Definition.** Suppose  $X$  a numerically generated space. The groupoid  $\Pi_1(X)$  of the previous theorem is called the *fundamental groupoid* of the numerically generated space  $X$ . For any point  $x \in X$ , the isotropy group

$$\pi_1(X, x) := (\Pi_1 X)_x$$

is called the *fundamental group* of  $X$ .

4.6. **Proposition.** For any map  $g: X \rightarrow Y$  of numerically generated spaces, the set maps

$$g: X \rightarrow Y \quad \text{and} \quad \pi_0 g_*: \pi_0 P_{x,y}(X) \rightarrow \pi_0 P_{g(x),g(y)}(Y)$$

define a morphism of groupoids

$$\Pi_1 g: \Pi_1 X \rightarrow \Pi_1 Y.$$

4.7. **Example.** Consider the coproduct  $X := \mathbf{S}^1 \sqcup \mathbf{S}^1$ , and consider the action of  $\mathbf{Z}/2$  on  $X$  obtained by switching the two summands. Then there is an induced action of  $\mathbf{Z}/2$  on  $\Pi_1(X)$ , but for no point  $x \in X$  is it the case that we obtain an induced action on  $\pi_1(X, x)$ .

4.8. **Lemma.** For any numerically generated space  $X$ , the set map

$$\Pi_1 \text{id}_X: \Pi_1 X \rightarrow \Pi_1 X$$

is the identity map.

4.9. **Proposition.** Suppose that  $X$ ,  $Y$ , and  $Z$  are numerically generated spaces, and suppose  $p: X \rightarrow Y$  and  $q: Y \rightarrow Z$  are two maps. Then the two set maps  $\Pi_1 X \rightarrow \Pi_1 Z$  given by  $\Pi_1(q \circ p)$  and  $(\Pi_1 q) \circ (\Pi_1 p)$  are equal.

4.10. **Proposition.** For any numerically generated space  $X$ , there is a natural bijection

$$\pi_0 \Pi_1 X \cong \pi_0 X.$$

4.11. **Proposition.** A homotopy equivalence  $g: X \xrightarrow{\sim} Y$  induces an equivalence

$$\Pi_1 g: \Pi_1 X \rightarrow \Pi_1 Y$$

of groupoids.

4.12. **Example.** If  $m \geq 1$ , the groupoid  $\Pi_1(\mathbf{R}^m)$  is equivalent but not isomorphic to the trivial group.

4.13. **Example.** For any  $m \neq 2$ , the spaces  $\mathbf{R}^2$  and  $\mathbf{R}^m$  are not homeomorphic.

4.14. **Example.** For any  $m \geq 2$ , the map  $\mathbf{S}^m \rightarrow *$  induces an equivalence of fundamental groupoids.

4.15. **Proposition.** For any two numerically generated spaces  $X$  and  $Y$ , the two maps  $\Pi_1(\text{pr}_1): \Pi_1(X \times Y) \rightarrow \Pi_1 X$  and  $\Pi_1(\text{pr}_2): \Pi_1(X \times Y) \rightarrow \Pi_1 Y$  induce an isomorphism

$$\Pi_1(X \times Y) \xrightarrow{\sim} \Pi_1 X \times \Pi_1 Y.$$

4.16. **Definition.** A *pointed space*  $(X, x)$  consists of a space  $X$  and a point (called the *basepoint*)  $x \in X$ . For any two pointed numerically generated spaces  $(X, x)$  and  $(Y, y)$ , a *pointed map* is a map  $g: X \rightarrow Y$  such that  $g(x) = y$ . We write

$$\text{Map}_*((X, x), (Y, y))$$

for the (numerically generated) fiber product

$$\text{Map}(X, Y) \times_{\text{Map}(\{x\}, Y)} \text{Map}(\{x\}, \{y\}).$$

4.17. **Notation.** Consider the pointed space  $(S^1, 1)$ . For any pointed numerically generated space  $(X, x)$ , write

$$\Omega_x X := \text{Map}_*(S^1, X).$$

If the chosen point  $x \in X$  is clear from the context, we may write  $\Omega X$  for  $\Omega_x X$ .

Furthermore, we may regard  $\Omega X$  as a pointed (numerically generated) space, where the basepoint is the constant map  $c_x: S^1 \rightarrow X$  at  $x$ . Consequently, we may iterate this construction to obtain, for every  $n \geq 0$ , a pointed space

$$\Omega^n X := \Omega \Omega^{n-1} X.$$

Now for any  $n \geq 2$ , write

$$\pi_n(X, x) := \pi_0 \Omega^n X.$$

4.18. **Proposition.** *For any pointed numerically generated space  $(X, x)$ , there exists a natural isomorphism*

$$\pi_1(X, x) \cong \pi_0 \Omega_x X.$$

4.19. **Proposition.** *For any pointed numerically generated space  $(X, x)$ , the group  $\pi_1(\Omega_x X, c_x)$  is abelian.*

4.19.1. **Corollary.** *For any pointed numerically generated space  $(X, x)$  and for any  $n \geq 2$ , the group  $\pi_n(X, x)$  is abelian.*

## 5. SHEAVES AND THE ÉTALE FUNDAMENTAL GROUPOID

5.1. **Notation.** For any space  $X$ , write  $\text{Op}(X)$  for the following category. The objects are open sets of  $X$ , and a map  $U \rightarrow V$  is an inclusion  $U \hookrightarrow V$ ; that is, there is a unique morphism  $U \rightarrow V$  if and only if  $U \subset V$ .

5.2. **Definition.** Suppose  $X$  a space. Then a *presheaf*  $\mathcal{F}$  on  $X$  is a functor

$$\mathcal{F}: \text{Op}(X)^{\text{op}} \rightarrow \mathbf{Set}.$$

For any open sets  $U, V \in \text{Op}(X)$ , if  $U \subset V$ , we write  $\rho_{U \subset V}$  for the set map  $\mathcal{F}(V) \rightarrow \mathcal{F}(U)$ .

For an open set  $U \in \text{Op}(X)$ , an element  $s \in \mathcal{F}(U)$  is sometimes called a *section of  $\mathcal{F}$  over  $U$* . An element of  $\mathcal{F}(X)$  will be called a *global section*.

5.3. **Example.** Suppose  $X$  and  $Y$  spaces. For any open set  $U \in \text{Op}(X)$ , write

$$\mathcal{O}_X^Y(U)$$

for the set of maps  $U \rightarrow Y$ . This defines a presheaf  $\mathcal{O}_X^Y$  on  $X$ .

5.4. **Example.** Suppose  $p: Y \rightarrow X$  a continuous map. Then for any open set  $U \in \text{Op}(X)$ , set

$$\Gamma(p)(U) = \Gamma(Y/X)(U) := \{s \in \mathcal{O}_X^Y(U) \mid p \circ s = \text{id}_U\}.$$

We call  $\Gamma(Y/X)(U)$  is the set of sections of  $p$  over  $U$ , and we call  $\Gamma(X/Y)$  the presheaf of local sections of  $p$ .

5.5. **Proposition.** Suppose  $S$  a set and suppose  $X$  a numerically generated space. For any open set  $U \in \text{Op}(X)$ , there is a natural bijection

$$\text{Map}(\pi_0 U, S) \cong \mathcal{O}_X^{\delta_S}(U).$$

5.6. **Example.** Write  $\mathbf{C}^\times := \mathbf{C} - \{0\}$ . Consider the map  $\text{sq}: \mathbf{C}^\times \rightarrow \mathbf{C}^\times$  given by  $\xi \mapsto \xi^2$ . Then the presheaf  $\Gamma(\text{sq})$  admits no global sections.

5.7. **Example.** Consider the exponential map  $\exp: \mathbf{C} \rightarrow \mathbf{C}^\times$ . Then the presheaf  $\Gamma(\exp)$  admits no global sections.

5.8. **Example.** For any set  $S$ , one may form the constant presheaf  $\mathcal{P}_S$  at  $S$ , which assigns to any open set  $U$  the set  $S$ , and to any open sets  $U, V \in \text{Op}(X)$  with  $V \subset U$  the identity map on  $S$ .

5.9. **Example.** Suppose  $X$  a space, and suppose  $V \in \text{Op}(X)$  a particular fixed open set. We have a presheaf  $\mathcal{H}_V$  defined by the rule

$$\mathcal{H}_V(U) := \begin{cases} \{*\} & \text{if } U \subset V \\ \emptyset & \text{otherwise.} \end{cases}$$

In this case, the restriction maps are unique: for any open sets  $U, U' \in \text{Op}(X)$  with  $U' \subset U$ , there is a unique map  $\mathcal{H}_V(U) \rightarrow \mathcal{H}_V(U')$ .

The presheaf  $\mathcal{H}_V$  is called the presheaf represented by  $V \in \text{Op}(X)$ .

5.10. **Definition.** A morphism of presheaves  $\phi: \mathcal{F} \rightarrow \mathcal{G}$  is a natural transformation. That is,  $\phi$  consists of a tuple  $(\phi_U)_{U \in \text{Op}(X)}$  of set maps

$$\phi_U: \mathcal{F}(U) \rightarrow \mathcal{G}(U),$$

subject to the following condition: for any open sets  $U, V \in \text{Op}(X)$  with  $V \subset U$ , the following diagram commutes:

$$\begin{array}{ccc} \mathcal{F}(U) & \xrightarrow{\phi_U} & \mathcal{G}(U) \\ \rho_{V \subset U} \downarrow & & \downarrow \rho_{V \subset U} \\ \mathcal{F}(V) & \xrightarrow{\phi_V} & \mathcal{G}(V). \end{array}$$

Write  $\text{Mor}_X(\mathcal{F}, \mathcal{G})$  for the set of all morphisms of presheaves  $\mathcal{F} \rightarrow \mathcal{G}$ .

Given morphisms of presheaves  $\phi: \mathcal{F} \rightarrow \mathcal{G}$  and  $\psi: \mathcal{G} \rightarrow \mathcal{H}$ , we can form the composite  $\psi \circ \phi: \mathcal{F} \rightarrow \mathcal{H}$  in the following manner: for any open set  $U \in \text{Op}(X)$ , set

$$(\psi \circ \phi)_U := \psi_U \circ \phi_U.$$

This defines a morphism of presheaves  $\mathcal{F} \rightarrow \mathcal{H}$  as desired.

A morphism of presheaves  $\phi: \mathcal{F} \rightarrow \mathcal{G}$  is said to be an *isomorphism* if there exists a morphism of sheaves  $\psi: \mathcal{G} \rightarrow \mathcal{F}$  such that both

$$\psi \circ \phi = \text{id}_{\mathcal{F}} \quad \text{and} \quad \phi \circ \psi = \text{id}_{\mathcal{G}}.$$

**5.11. Proposition.** *For any presheaf  $\mathcal{F}$  on a space  $X$  and for any open set  $U \in \text{Op}(X)$ , there is a natural bijection*

$$\text{Mor}_X(\mathcal{H}_U, \mathcal{F}) \cong \mathcal{F}(U).$$

**5.11.1. Corollary.** *For any space  $X$  and any two open sets  $U, V \in \text{Op}(X)$ , there is a morphism  $\iota: \mathcal{H}_U \rightarrow \mathcal{H}_V$  if and only if one has  $U \subset V$ , in which case  $\iota$  is unique.*

**5.12. Example.** *For any two spaces  $X$  and  $Y$ , the presheaf  $\mathcal{C}_X^Y$  is isomorphic to the presheaf of local sections  $\Gamma(Y \times X/X)$  of the projection map  $\text{pr}_2: Y \times X \rightarrow X$ .*

**5.13. Example.** *Suppose  $S$  is a set, and suppose  $X$  a space with a distinguished point  $x \in X$ . Then the skyscraper presheaf at  $x$  with value  $S$  is defined by the rule*

$$S^x(U) := \begin{cases} S & \text{if } x \in U; \\ \star & \text{otherwise.} \end{cases}$$

**5.14. Definition.** Suppose  $X$  a space, and suppose  $\mathcal{F}$  a presheaf on  $X$ . Then for any point  $x \in X$ , consider the set

$$\coprod_{x \in U \in \text{Op}(X)} \mathcal{F}(U) = \{(U, s) \mid x \in U \in \text{Op}(X), s \in \mathcal{F}(U)\}.$$



On this set we may impose an equivalence relation  $\sim$  in the following manner. For any two elements  $(U, s)$  and  $(V, t)$ , we say that  $(U, s) \sim (V, t)$  if and only if there exists an open neighborhood  $W \subset U \cap V$  of  $x$  such that  $\rho_{W \subset U}(s) = \rho_{W \subset V}(t)$ . Now define the *stalk* of  $\mathcal{F}$  at  $x$  to be the set

$$\mathcal{F}_x := \left( \coprod_{x \in U \in \text{Op}(X)} \mathcal{F}(U) \right) / \sim.$$

The equivalence class of a section  $s$  under this equivalence relation is called the *germ* of  $s$ , and is denoted  $s_x$ .

**5.15. Lemma.** *Suppose  $X$  a space, and suppose  $x \in X$  a point. For any morphism  $\phi: \mathcal{F} \rightarrow \mathcal{G}$  of presheaves on  $X$ , the set map*

$$\coprod_{x \in U \in \text{Op}(X)} \mathcal{F}(U) \rightarrow \coprod_{x \in U \in \text{Op}(X)} \mathcal{G}(U)$$

*descends to a set map on the stalks  $\phi_x: \mathcal{F}_x \rightarrow \mathcal{G}_x$ .*

**5.16. Proposition.** *Suppose  $X$  a space, and suppose  $x \in X$  a point. Then for any presheaf  $\mathcal{F}$  on  $X$  and any set  $S$ , there is a natural isomorphism*

$$\text{Map}(\mathcal{F}_x, S) \cong \text{Mor}(\mathcal{F}, S^x).$$

**5.17. Notation.** Suppose  $U$  a space, and suppose  $\{U_\alpha\}_{\alpha \in \Lambda}$  an open cover of  $U$ . For any  $\eta, \theta \in \Lambda$ , write

$$U_{\eta\theta} := U_\eta \cap U_\theta.$$

**5.18. Definition.** A presheaf  $\mathcal{F}$  on a space  $X$  is said to be a *sheaf* if, for any open set  $U \in \text{Op}(X)$  and any open cover  $\{U_\alpha\}_{\alpha \in \Lambda}$  of  $U$ , the map

$$\prod_{\alpha \in \Lambda} \rho_{U_\alpha \subset U}: \mathcal{F}(U) \rightarrow \prod_{\alpha \in \Lambda} \mathcal{F}(U_\alpha)$$

is an injection that identifies  $\mathcal{F}(U)$  with the set of tuples

$$(s_\alpha)_{\alpha \in \Lambda} \in \prod_{\alpha \in \Lambda} \mathcal{F}(U_\alpha)$$

such that for any  $\eta, \theta \in \Lambda$ , one has

$$\rho_{U_{\eta\theta} \subset U_\eta}(s_\eta) = \rho_{U_{\eta\theta} \subset U_\theta}(s_\theta).$$

**5.19. Lemma.** *Suppose  $\mathcal{F}$  a sheaf on a space  $X$ . Then  $\mathcal{F}(\emptyset) = \{*\}$ .*

5.20. **Example.** Any sheaf on the one-point space  $\{*\}$  is uniquely determined (up to isomorphism) by its set of global sections, so we will make no distinction between sets and sheaves on  $\{*\}$ .

5.21. **Example.** For any two spaces  $X$  and  $Y$ , the presheaf  $\mathcal{C}_X^Y$  is a sheaf, called the sheaf of local continuous functions on  $X$  with values in  $Y$ .

5.22. **Example.** For any continuous map  $p: Y \rightarrow X$ , the presheaf of local sections  $\Gamma(Y/X)$  is a sheaf, called the sheaf of local sections of  $p$ .

5.23. **Example.** For any space  $X$ , any point  $x \in X$ , and any set  $S$ , the skyscraper presheaf  $\mathcal{S}^x$  is a sheaf, called the skyscraper sheaf.

5.24. **Example.** For any space  $X$  and any open set  $U \in \text{Op}(X)$ , the presheaf  $\mathcal{H}_U$  is a sheaf, called the sheaf represented by  $U$ .

5.25. **Example.** For any space  $X$  and any set  $S \neq \{*\}$ , the constant presheaf on  $X$  at  $S$  is not a sheaf.

5.26. **Theorem.** Suppose  $X$  a space, and suppose  $\mathcal{F}$  a sheaf on  $X$ . For any open set  $U \in \text{Op}(X)$ , the map

$$\mathcal{F}(U) \rightarrow \prod_{x \in U} \mathcal{F}_x$$

that carries a section  $s$  to the equivalence class of the pair  $(U, s)$  is injective.

5.26.1. **Corollary.** Suppose  $\mathcal{F}$  and  $\mathcal{G}$  sheaves on a space  $X$ . Then if

$$\phi, \psi: \mathcal{F} \rightarrow \mathcal{G}$$

are two morphisms such that for every point  $x \in X$ , the induced maps

$$\phi_x, \psi_x: \mathcal{F}_x \rightarrow \mathcal{G}_x$$

on stalks coincide (so that  $\phi_x = \psi_x$ ), then  $\phi = \psi$ .

5.27. **Theorem.** Suppose  $\mathcal{F}$  and  $\mathcal{G}$  sheaves on a space  $X$ . Then a morphism

$$\phi: \mathcal{F} \rightarrow \mathcal{G}$$

is a bijection or an injection if and only if, for every point  $x \in X$ , the set map on stalks  $\phi_x: \mathcal{F}_x \rightarrow \mathcal{G}_x$  is so.

5.28. **Warning.** If  $\mathcal{F}$  and  $\mathcal{G}$  are sheaves on a space  $X$  such that there are bijections  $\mathcal{F}_x \cong \mathcal{G}_x$  for every point  $x \in X$ , it does *not* follow that  $\mathcal{F}$  and  $\mathcal{G}$  are isomorphic.

5.29. **Notation.** Suppose  $X$  a space and  $\mathcal{F}$  a presheaf on  $X$ . Consider the set

$$\acute{E}t(\mathcal{F}) := \coprod_{x \in X} \mathcal{F}_x;$$

there is an obvious map  $p_{\mathcal{F}}: \acute{E}t(\mathcal{F}) \rightarrow X$  whose fibers are precisely the stalks of  $\mathcal{F}$ . For any open set  $U$  and any section  $s \in \mathcal{F}(U)$ , there is a corresponding map

$$\sigma_s: U \rightarrow \acute{E}t(\mathcal{F}),$$

given by the assignment  $x \mapsto s_x$ , such that  $p \circ \sigma = \text{id}$ .

5.30. **Definition.** Suppose  $X$  a space and  $\mathcal{F}$  a presheaf on  $X$ . The *espace étalé* of  $\mathcal{F}$  is the set  $\acute{E}t(\mathcal{F})$  equipped with the finest topology such that for any section  $s \in \mathcal{F}(U)$ , the corresponding map

$$\sigma_s: U \rightarrow \acute{E}t(\mathcal{F})$$

is continuous. That is, we declare a subset  $V \subset \acute{E}t(\mathcal{F})$  to be open if and only if, for any open set  $U \in \text{Op}(X)$  and any section  $s \in \mathcal{F}(U)$ , the inverse image  $\sigma_s^{-1}(V)$  is open in  $U$ .

5.31. **Definition.** A continuous map  $p: Y \rightarrow X$  is said to be a *local homeomorphism* if every point  $y \in Y$  is contained in a neighborhood  $V$  such that  $p$  is open and injective.

5.32. **Proposition.** For any space  $X$  and any presheaf  $\mathcal{F}$  on  $X$ , the natural morphism  $p_{\mathcal{F}}: \acute{E}t(\mathcal{F}) \rightarrow X$  is a local homeomorphism.

5.33. **Proposition.** Suppose  $S$  a set, and suppose  $\mathcal{P}_S$  is the constant presheaf at  $S$  on a space  $X$ . Then the *espace étalé* of  $\mathcal{P}_S$  is the projection  $\text{pr}_1: X \times S^{\delta} \rightarrow X$ .

5.34. **Lemma.** Suppose  $p: Y \rightarrow X$  a local homeomorphism. Then the *espace étalé*  $Z := \acute{E}t(\Gamma(Y/X))$  of the sheaf of local sections  $\Gamma(Y/X)$  is canonically homeomorphic over  $X$  to  $Y$ . That is, there is a unique homeomorphism  $Y \rightarrow Z$  such that the diagram

$$\begin{array}{ccc} Y & \longrightarrow & Z \\ & \searrow & \swarrow \\ & X & \end{array}$$

commutes.

5.35. **Definition.** Suppose  $X$  a space and  $\mathcal{F}$  a presheaf on  $X$ . The *sheafification* of  $\mathcal{F}$  is the sheaf

$$a\mathcal{F} := \Gamma(\acute{E}t(\mathcal{F})/X)$$

of local sections of the projection map  $p: \acute{E}t(\mathcal{F}) \rightarrow X$ . The morphism of presheaves

$$\eta_{\mathcal{F}}: \mathcal{F} \rightarrow a\mathcal{F}$$

that assigns to any section  $s \in \mathcal{F}(U)$  the section  $x \mapsto s_x$  over  $U$  is called the *unit morphism*.

5.36. **Proposition.** For any presheaf  $\mathcal{F}$  on a space  $X$ , the natural morphism

$$\eta_{\mathcal{F}}: \mathcal{F} \rightarrow a\mathcal{F}$$

induces a bijection  $\eta_{\mathcal{F},x}: \mathcal{F}_x \rightarrow (a\mathcal{F})_x$  on stalks for every  $x \in X$ .

5.36.I. **Corollary.** For any sheaf  $\mathcal{F}$  on a space  $X$ , the unit morphism  $\eta_{\mathcal{F}}: \mathcal{F} \rightarrow a\mathcal{F}$  is an isomorphism.

5.37. **Example.** The constant sheaf  $\mathcal{F}_S$  at a set  $S$  on a space  $X$  is the sheafification of the constant presheaf  $\mathcal{P}_S$  at  $S$ . It is isomorphic to the sheaf of local sections  $\Gamma(X \times S^\delta/X)$ . Consequently, the constant sheaf is not really constant: it takes many different values on an open set  $U \subset X$ .

5.38. **Proposition.** Suppose  $X$  a numerically generated space. Then there exists a global section  $u \in \mathcal{F}_{\pi_0 X}(X)$  such that for any set  $S$  and any global section  $\sigma \in \mathcal{F}_S(X)$ , there exists a unique set map  $\pi_0 \rightarrow S$  such that the induced morphism of sheaves  $\tilde{\sigma}: \mathcal{F}_{\pi_0 X} \rightarrow \mathcal{F}_S$  has the property that  $\tilde{\sigma}(u) = \sigma$ .

5.39. **Theorem.** Suppose  $X$  a space, suppose  $\mathcal{F}$  a presheaf on  $X$ , and suppose  $\mathcal{G}$  a sheaf on  $X$ . Then for any morphism  $\phi: \mathcal{F} \rightarrow \mathcal{G}$ , there exists a unique morphism  $a\phi: a\mathcal{F} \rightarrow \mathcal{G}$  such that the diagram

$$\begin{array}{ccc} & \mathcal{F} & \\ \eta_{\mathcal{F}} \swarrow & & \searrow \phi \\ a\mathcal{F} & \xrightarrow{a\phi} & \mathcal{G} \end{array}$$

commutes.

5.39.I. **Corollary.** Suppose  $X$  a space. For any morphism  $\phi: \mathcal{F} \rightarrow \mathcal{G}$  of presheaves, there exists a unique morphism

$$a\phi: a\mathcal{F} \rightarrow a\mathcal{G}$$

such that following diagram commutes:

$$\begin{array}{ccc} \mathcal{F} & \xrightarrow{\phi} & \mathcal{G} \\ \eta_{\mathcal{F}} \downarrow & & \downarrow \eta_{\mathcal{G}} \\ a.\mathcal{F} & \xrightarrow{a\phi} & a.\mathcal{G}. \end{array}$$

5.40. **Definition.** Suppose  $g: X \rightarrow Y$  a map.

(5.40.1) For any sheaf  $\mathcal{F}$  on  $X$ , define the *direct image*  $g_*\mathcal{F}$  of  $\mathcal{F}$  as the sheaf that assigns to any open set  $V \in \text{Op}(Y)$  the set

$$g_*\mathcal{F}(V) := \mathcal{F}(g^{-1}V).$$

(5.40.2) For any sheaf  $\mathcal{G}$  on  $Y$ , we define the *inverse image*  $g^*\mathcal{G}$  as the sheaf of local sections of the pullback

$$X \times_Y \text{Ét}(\mathcal{G}) \rightarrow X$$

of the map  $p_{\mathcal{G}}: \text{Ét}(\mathcal{G}) \rightarrow Y$

5.41. **Example.** Suppose  $A \subset X$  a subspace of a space  $X$ . Then for any sheaf  $\mathcal{F}$  on  $X$ , if  $i$  denotes the inclusion map, the sheaf  $i^*\mathcal{F}$  on  $A$  is denoted  $\mathcal{F}|_A$  and is called the restriction of  $\mathcal{F}$  to  $A$ . If, in particular,  $A$  is an open set, then the restriction  $\mathcal{F}|_A$  assigns to any open set  $U \subset A$  the set  $\mathcal{F}(U)$ .

5.42. **Example.** Suppose  $X$  a space. We have a unique map  $!: X \rightarrow \{*\}$ . For any set  $S$ , there is a natural isomorphism

$$!^*S \cong \mathcal{F}_S$$

between the inverse image along  $!$  and the constant sheaf. For any sheaf  $\mathcal{F}$  on  $X$ , there is a natural isomorphism

$$!_*\mathcal{F} \cong \mathcal{F}(X)$$

between the direct image along  $!$  and the set of global sections.

On the other hand, suppose  $x \in X$  a point, and write  $x: \{*\} \rightarrow X$  for the corresponding inclusion. For any set  $S$ , there is a natural isomorphism

$$x_*S \cong S^x$$

between the direct image along  $x$  and the skyscraper sheaf. For any sheaf  $\mathcal{F}$  on  $X$ , there is a natural isomorphism

$$x^*\mathcal{F} \cong \mathcal{F}_x$$

between the inverse image along  $x$  and the stalk of  $\mathcal{F}$  at  $x$ .

5.43. **Theorem.** Suppose  $g: X \rightarrow Y$  a map,  $\mathcal{F}$  a sheaf on  $X$  and  $\mathcal{G}$  a sheaf on  $Y$ . Then there exists a natural bijection

$$\mathrm{Mor}_X(g^* \mathcal{G}, \mathcal{F}) \cong \mathrm{Mor}_Y(\mathcal{G}, g_* \mathcal{F}).$$

5.44. **Definition.** Suppose  $X$  a space. A sheaf  $\mathcal{F}$  on  $X$  will be said to be *locally constant* if every point  $x \in X$  is contained in an open neighborhood  $U$  such that the sheaf  $\mathcal{F}|_U$  is constant.

5.45. **Notation.** For any space  $X$ , denote by  $\mathbf{LC}(X)$  the category whose objects are locally constant sheaves on  $X$  and whose morphisms are morphisms of sheaves.

5.46. **Example.** For any natural number  $n$ , consider the map  $p_n: \mathbf{C}^\times \rightarrow \mathbf{C}^\times$  given by  $\xi \mapsto \xi^n$ . Then the sheaf of local sections  $\Gamma(p_n)$  is locally constant, but it is not constant.

5.47. **Proposition.** Suppose  $X$  a connected numerically generated space. Then a locally constant sheaf  $\mathcal{F}$  on  $X$  is a constant sheaf if and only if for any point  $x \in X$ , the set map  $\mathcal{F}(X) \rightarrow \mathcal{F}_x$  that carries a global section  $s$  to its equivalence class in  $\mathcal{F}_x$  is a bijection.

5.48. **Proposition.** The only locally constant sheaves on  $I$  are constant.

5.49. **Definition.** Suppose  $X$  a space. Write  $\mathbf{Set}$  for the category whose objects are sets and whose morphisms are set maps. For any point  $x \in X$ , the *fiber functor* for  $x$  is the functor  $\omega_x := x^*: \mathbf{LC}(X) \rightarrow \mathbf{Set}$ .

5.50. **Notation.** Suppose  $X$  a numerically generated space, suppose  $x, y \in X$ , and suppose  $\gamma: I \rightarrow X$  a path such that  $\gamma(0) = x$  and  $\gamma(1) = y$ . If  $\mathcal{F}$  is a locally constant sheaf on  $X$ , then we obtain a bijection  $\omega_\gamma(\mathcal{F})$ :

$$\omega_x(\mathcal{F}) \cong (\gamma^* \mathcal{F})_0 \xleftarrow{\cong} (\gamma^* \mathcal{F})(I) \xrightarrow{\cong} (\gamma^* \mathcal{F})_1 \cong \omega_y(\mathcal{F}).$$

5.51. **Proposition.** Suppose  $X$  a numerically generated space, suppose  $x, y \in X$ , and suppose  $\gamma: I \rightarrow X$  a path such that  $\gamma(0) = x$  and  $\gamma(1) = y$ . If  $\phi: \mathcal{F} \rightarrow \mathcal{G}$  is a morphism of locally constant sheaves on  $X$ , one has

$$\phi_y \circ \omega_\gamma(\mathcal{F}) = \omega_\gamma(\mathcal{G}) \circ \phi_x.$$

5.51.I. **Corollary.** Suppose  $X$  a numerically generated space, suppose  $x, y \in X$ , and suppose  $\gamma: I \rightarrow X$  a path such that  $\gamma(0) = x$  and  $\gamma(1) = y$ . Then  $\omega_\gamma$  is a natural isomorphism  $\omega_x \xrightarrow{\cong} \omega_y$ .

5.52. **Proposition.** *Suppose  $X$  a numerically generated space, and suppose  $x, y \in X$ . If  $\eta, \theta \in P_{x,y}(X)$  lie in the same connected component, then one has*

$$\omega_\eta = \omega_\theta.$$

5.53. **Definition.** Suppose  $X$  a space. Write  $\mathbf{Fib}(X)$  for the following groupoid. The objects are points  $x \in X$ , and for any two points  $x, y \in X$ , the set

$$\text{Isom}_{\mathbf{Fib}(X)}(x, y)$$

is the set of natural isomorphisms  $\omega_x \xrightarrow{\sim} \omega_y$ .

5.54. **Definition.** Suppose  $X$  a numerically generated space. Then we say that  $X$  is *locally contractible* if, for any point  $x \in X$  and any open neighborhood  $U$  of  $x$ , there exists a neighborhood  $x \in V \subset U$  such that the inclusion  $\{x\} \hookrightarrow V$  is a homotopy equivalence.

5.55. **Theorem.** *Suppose  $X$  a locally contractible numerically generated space. Then the assignment  $\gamma \mapsto \omega_\gamma$  defines an equivalence of groupoids*

$$\Pi_1(X) \xrightarrow{\sim} \mathbf{Fib}(X).$$

5.55.I. **Corollary.** *For any locally contractible numerically generated space  $X$  and any point  $x \in X$ , the assignment  $\gamma \mapsto \omega_\gamma$  defines an isomorphism*

$$\pi_1(X, x) \xrightarrow{\sim} \text{Aut}(\omega_x).$$

## 6. SIMPLICIAL SETS AND HIGHER GROUPOIDS

6.1. **Definition.** Consider the following category  $\Delta$ . The objects are nonempty totally ordered finite sets, and a morphism  $K \rightarrow J$  in  $\Delta$  is a nondecreasing map  $K \rightarrow J$ .

For any natural number  $n$ , denote by  $[n]$  the totally ordered finite set

$$\{0, \dots, n\}$$

(whose order is the usual one). We regard  $[n]$  as an object of  $\Delta$ .

6.2. **Lemma.** *For every object  $J$  of  $\Delta$ , there exists a unique integer  $n_J$  and a unique isomorphism  $J \xrightarrow{\sim} [n_J]$ . For any two objects  $J$  and  $K$  of  $\Delta$ , the set  $\text{Isom}_\Delta(K, J)$  of isomorphisms  $K \xrightarrow{\sim} J$  is given by*

$$\text{Isom}_\Delta(K, J) \cong \begin{cases} \{*\} & \text{if } n_K = n_J \\ \emptyset & \text{if } n_K \neq n_J. \end{cases}$$

6.3. **Lemma.** Every morphism  $g: K \rightarrow J$  of  $\Delta$  can be factored in a unique fashion as  $g = g_+ \circ g_-$ , where  $g_+$  is an injective nondecreasing map, and  $g_-$  is a surjective nondecreasing map.

6.4. **Lemma.** Suppose  $n$  a natural number. For any integer  $0 \leq i \leq n$ , there is a unique nondecreasing injection

$$\delta_i: [n-1] \rightarrow [n]$$

such that  $i$  is not contained in the image of  $\delta_i$ . Similarly, there is a unique nondecreasing surjection

$$\sigma_i: [n+1] \rightarrow [n]$$

such that  $\sigma_i(i) = \sigma_i(i+1)$ .

6.5. **Definition.** A *simplicial set* is a functor  $X: \Delta^{\text{op}} \rightarrow \mathbf{Set}$ . The set  $X([n])$  will usually be denoted  $X_n$ . Its elements will be called *n-simplices*. We sometimes call 0-simplices *vertices* and 1-simplices *edges*.

A *morphism*  $g: X \rightarrow Y$  of simplicial sets is a natural transformation. That is, it is a tuple  $(g_J)_{J \in \Delta}$  of set maps  $g_J: X(J) \rightarrow Y(J)$  such that for any morphism  $\phi: K \rightarrow J$  of  $\Delta$ , the diagram

$$\begin{array}{ccc} X(J) & \xrightarrow{g_J} & Y(J) \\ X(\phi) \downarrow & & \downarrow Y(\phi) \\ X(K) & \xrightarrow{g_K} & Y(K) \end{array}$$

commutes. We write  $\text{Mor}(X, Y)$  for the set of morphisms  $X \rightarrow Y$ .

6.6. **Lemma.** A simplicial set  $X$  is uniquely identified by the following data:

(6.6.A) for any natural number  $n$ , a set  $X_n$ ;

(6.6.B) for any natural number  $n$  and any integer  $0 \leq i \leq n$ , a map  $d_i := X(\delta_i): X_n \rightarrow X_{n-1}$ ;

(6.6.C) for any natural number  $n$  and any integer  $0 \leq i \leq n$ , a map  $s_i := X(\sigma_i): X_n \rightarrow X_{n+1}$ ;

subject to the following axioms.

(6.6.1) If  $i < j$ , then  $d_i d_j = d_{j-1} d_i$ .

(6.6.2) If  $i > j$ , then  $s_i s_j = s_j s_{i-1}$ .

(6.6.3) Lastly,

$$d_i s_j = \begin{cases} s_{j-1} d_i & \text{if } i < j; \\ \text{id} & \text{if } i = j \text{ or } i = j + 1; \\ s_j d_{i-1} & \text{if } i > j + 1. \end{cases}$$



6.7. **Example.** For any set  $S$ , the discrete simplicial set  $S^\delta$  at  $S$  is constant functor

$$J \mapsto S.$$

6.8. **Example.** For any object  $J$  of  $\Delta$ , the simplicial set  $\Delta^J$  is given by the assignment

$$K \mapsto \text{Mor}_\Delta(K, J).$$

For any simplicial set  $X$ , there is a natural bijection

$$\text{Mor}(\Delta^J, X) \cong X(J).$$

For any natural number  $n$ , we write  $\Delta^n$  for  $\Delta^{[n]}$ , and we call it the standard  $n$ -simplex.

6.9. **Example.** For any category  $C$ , the nerve  $\text{NC}$  is defined in the following manner. Any object  $J$  of  $\Delta$  can be regarded as a category whose objects are the elements of  $I$  and whose morphisms are given by

$$\text{Mor}_J(i, j) \cong \begin{cases} \{*\} & \text{if } i \leq j \\ \emptyset & \text{if } i > j. \end{cases}$$

Now  $\text{NC}$  is given by the assignment

$$J \mapsto \text{Fun}(J, C),$$

where  $\text{Fun}(J, C)$  denotes the set of functors  $J \rightarrow C$ .

6.10. **Lemma.** For any object  $J$  of  $\Delta$ , there is a natural isomorphism  $\text{NJ} \cong \Delta^J$ .

6.11. **Proposition.** For any categories  $C$  and  $D$ , the natural map

$$\text{Fun}(C, D) \rightarrow \text{Mor}(\text{NC}, \text{ND})$$

is a bijection.

6.12. **Example.** For any two simplicial sets  $X$  and  $Y$ , the product  $X \times Y$  is the functor given by the assignment

$$J \mapsto X(J) \times Y(J).$$

More generally, for any morphisms  $X \rightarrow Z$  and  $Y \rightarrow Z$  of simplicial sets, the fiber product  $X \times_Z Y$  is the functor given by the assignment

$$J \mapsto X(J) \times_{Z(J)} Y(J).$$

6.13. **Example.** If  $X$  and  $Y$  are two simplicial sets, then the coproduct  $X \sqcup Y$  is the functor given by the assignment

$$J \mapsto X(J) \sqcup Y(J).$$

6.14. **Definition.** Suppose  $X$  a simplicial set. Suppose  $n$  a natural number and  $\tau \in X_n$ . For an integer  $0 \leq i \leq n$ , the  $i$ -th face of  $\tau$  is the  $(n-1)$ -simplex  $d_i(\tau)$ , and the  $i$ -th degeneracy of  $\tau$  is the  $(n+1)$ -simplex  $s_i(\tau)$ .

An  $(n+1)$ -simplex is *degenerate* if it lies in the essential image of  $X(\sigma_i)$ ; we'll say that it is *nondegenerate* if it is not degenerate.

6.15. **Lemma.** Suppose  $X$  a simplicial set, and suppose that for every natural number  $n$ , one has a subset  $Y_n \subset X_n$ . If  $\tau \in Y_n$  implies that for any integer  $0 \leq i \leq n$ , one has  $d_i(\tau) \in Y_{n-1}$  and  $s_i(\tau) \in Y_{n+1}$ , then the assignment  $I \mapsto Y_{n_i}$  defines a simplicial set, and the inclusions  $Y_n \hookrightarrow X_n$  define a morphism of simplicial sets.

6.16. **Definition.** A simplicial set  $Y$  constructed as above will be called a *simplicial subset* of  $X$ , and we will write  $Y \subset X$ .

6.17. **Example.** For any morphisms  $X \rightarrow Z$  and  $Y \rightarrow Z$  of simplicial sets, the fiber product  $X \times_Z Y$  is naturally a simplicial subset of  $X \times Y$ .

6.18. **Example.** For any natural number  $n$  and any integer  $0 \leq i \leq n$ , the inclusion

$$\{0, \dots, i-1, i+1, \dots, n\} \hookrightarrow [n]$$

defines a simplicial subset

$$\Delta^{\{0, \dots, i-1, i+1, \dots, n\}} \subset \Delta^n,$$

which we call the  $i$ -th face of  $\Delta^n$ .

6.19. **Example.** For any natural number  $n$ , denote by  $\partial\Delta^n \subset \Delta^n$  the smallest simplicial subset that contains all the faces of  $\Delta^n$ . That is, the set of  $m$ -simplices of  $\partial\Delta^n$  is given by

$$(\partial\Delta^n)_m := \bigcup_{0 \leq i \leq n} \Delta_m^{\{0, \dots, i-1, i+1, \dots, n\}}.$$

6.20. **Example.** For any natural number  $n$  and any integer  $0 \leq k \leq n$ , denote by  $\Lambda_k^n \subset \partial\Delta^n$  the smallest simplicial subset that contains all the faces of  $\Delta^n$  except for the  $k$ -th. That is, the set of  $m$ -simplices of  $\Lambda_k^n$  is given by

$$(\Lambda_k^n)_m := \bigcup_{0 \leq i \leq n, i \neq k} \Delta_m^{\{0, \dots, i-1, i+1, \dots, n\}}.$$

6.21. **Example.** For any simplicial set  $X$  and any integer  $n \geq 0$ , let  $\text{sk}_n X \subset X$  be the smallest simplicial subset of  $X$  that contains all the  $n$ -simplices of  $X$ . That is, the

set of  $m$ -simplices of  $\text{sk}_n X$  is given by

$$(\text{sk}_n X)_m := \begin{cases} X_m & \text{if } m \leq n; \\ \bigcup_{i_1, \dots, i_{m-n}} s_{i_{m-n}} \cdots s_{i_1}(X_m) & \text{if } m > n. \end{cases}$$

6.22. **Definition.** Suppose  $n$  a natural number, and write  $\Delta_{\leq n} \subset \Delta$  for the full subcategory spanned by those objects  $J$  of  $\Delta$  such that  $n_J \leq n$ . For any simplicial set  $X$ , write  $X_{\leq n}$  for the restriction of  $X$  to  $\Delta_{\leq n}^{\text{op}}$ .

6.23. **Lemma.** For any natural number  $n$ , one has

$$\text{sk}_{n-1} \Delta^n \cong \partial \Delta^n,$$

and for any integer  $0 \leq k \leq n+1$ ,

$$\text{sk}_{n-1} \Lambda_k^{n+1} \cong \text{sk}_{n-1} \Delta^{n+1}.$$

6.24. **Definition.** For any natural number  $n$  and any simplicial set  $X$ , define a simplicial set  $\text{cosk}_n X$  as the functor given by the assignment

$$J \longmapsto \text{Mor}(\text{sk}_n \Delta^J, X).$$

The inclusions  $\text{sk}_n \Delta^J \hookrightarrow \Delta^J$  induce a morphism  $X \rightarrow \text{cosk}_n X$ . We say that  $X$  is  $n$ -coskeletal if this morphism is an isomorphism.

6.25. **Proposition.** For any natural number  $n$  and any two simplicial sets  $X$  and  $Y$ , there are natural bijections

$$\text{Mor}(\text{sk}_n X, Y) \cong \text{Nat}(X_{\leq n}, Y_{\leq n}) \cong \text{Mor}(X, \text{cosk}_n Y),$$

where  $\text{Nat}(X_{\leq n}, Y_{\leq n})$  is the set of natural transformations  $X_{\leq n} \rightarrow Y_{\leq n}$ .

6.26. **Proposition.** The nerve of any category is 2-coskeletal.

6.27. **Definition.** A simplicial set  $X$  is a *Kan complex* or an  $\infty$ -groupoid if for any natural number  $n \geq 1$  and any integer  $0 \leq k \leq n$ , the inclusion morphism  $\Lambda_k^n \hookrightarrow \Delta^n$  induces a surjection

$$\text{Mor}(\Delta^n, X) \longrightarrow \text{Mor}(\Lambda_k^n, X).$$

For a natural number  $m$ , we say that an  $\infty$ -groupoid  $X$  is a  $m$ -groupoid if, in addition, for any natural number  $n \geq m+1$  and any integer  $0 \leq k \leq n$ , the inclusion morphism  $\Lambda_k^n \hookrightarrow \Delta^n$  induces a bijection

$$X_n \cong \text{Mor}(\Delta^n, X) \longrightarrow \text{Mor}(\Lambda_k^n, X).$$

6.28. **Example.** The standard simplex  $\Delta^n$  is a Kan complex if and only if  $n = 0$ .

6.29. **Example.** A 0-groupoid is precisely a discrete simplicial set.

6.30. **Proposition.** The nerve of a category  $C$  is a Kan complex if and only if  $C$  is a groupoid, in which case  $NC$  is a 1-groupoid.

6.31. **Proposition.** An  $m$ -groupoid is  $(m+1)$ -coskeletal, and an  $m$ -coskeletal Kan complex is a  $(m+1)$ -groupoid.

6.32. **Proposition.** Any 1-groupoid is the nerve of a groupoid.

6.33. **Proposition.** If  $X$  and  $Y$  are  $m$ -groupoids ( $0 \leq m \leq \infty$ ), then the product  $X \times Z$  is an  $m$ -groupoid as well.

6.34. **Proposition.** If  $X$  and  $Y$  are  $m$ -groupoids ( $0 \leq m \leq \infty$ ), then the coproduct  $X \sqcup Y$  is an  $m$ -groupoid as well.

6.35. **Proposition.** Suppose  $X: \Delta^{\text{op}} \rightarrow \mathbf{Grp}$  a simplicial group, i.e., a simplicial set in which each  $X_n$  is equipped with a group structure and the maps  $d_i: X_n \rightarrow X_{n-1}$  and  $s_i: X_n \rightarrow X_{n+1}$  are all group homomorphisms. Then  $X$  is a Kan complex.

6.36. **Definition.** Suppose  $X$  and  $Y$  two simplicial sets. Define a simplicial set  $\text{Map}(X, Y)$  as the functor given by the assignment

$$J \mapsto \text{Mor}(X \times \Delta^J, Y).$$

6.37. **Lemma.** For any simplicial sets  $X, Y$ , and  $Z$ , there is a natural bijection

$$\text{Mor}(X \times Y, Z) \cong \text{Mor}(X, \text{Map}(Y, Z)).$$

6.38. **Proposition.** Suppose  $C$  and  $D$  two categories. Then there is a natural isomorphism

$$\mathbf{NFun}(C, D) \cong \text{Map}(NC, ND),$$

where  $\mathbf{Fun}(C, D)$  denotes the category whose objects are functors  $C \rightarrow D$  and whose morphisms are natural transformations.

6.39. **Theorem.** Suppose  $X$  a simplicial set, and suppose  $Y$  an  $m$ -groupoid ( $0 \leq m \leq \infty$ ). Then  $\text{Map}(X, Y)$  is an  $m$ -groupoid as well.

6.39.1. **Corollary.** For any simplicial set  $X$  and for any groupoid  $\Gamma$ , the simplicial set  $\text{Map}(X, N\Gamma)$  is the nerve of a groupoid.

6.39.2. **Corollary.** *For any simplicial set  $X$  and for any set  $S$ , the simplicial set  $\text{Map}(X, S^\delta)$  is discrete.*

## 7. THE POSTNIKOV TOWER

7.1. **Definition.** Suppose  $X$  a simplicial set. Consider the equivalence relation  $\sim$  on  $X_0$  generated by declaring two vertices  $x, y \in X_0$  to be equivalent if there exists a 1-simplex  $\tau \in X_1$  such that  $d_0(\tau) = x$  and  $d_1(\tau) = y$ . Denote by  $\pi_0 X := X / \sim$  the set of equivalence classes under this equivalence relation, and write  $p_{X,0}: X_0 \rightarrow \pi_0 X$  the projection of the vertices of  $X$  onto their equivalence classes.

7.2. **Example.** *For any set  $S$ , one has  $\pi_0(S^\delta) = S$ .*

7.3. **Lemma.** *If  $X$  is a Kan complex, then two vertices are equivalent in the sense above if and only if there exists a 1-simplex  $\tau \in X_1$  such that  $d_0(\tau) = x$  and  $d_1(\tau) = y$ .*

7.4. **Lemma.** *Suppose  $X$  a simplicial set. For any natural number  $n \geq 1$ , any  $n$ -simplex  $\tau \in X_n$ , and any two morphisms  $\phi, \psi: [0] \rightarrow [n]$  of  $\Delta$ , we have*

$$X(\phi)(\tau) \sim X(\psi)(\tau).$$

*Consequently, there exists a unique morphism  $p_X: X \rightarrow (\pi_0 X)^\delta$  that on vertices is the map  $p_{X,0}$  above.*

7.5. **Theorem.** *Suppose  $X$  a simplicial set. Then the morphism  $p_X$  has the following universal property: for any set  $S$  and any morphism  $g: X \rightarrow S^\delta$ , there exists a unique set map  $\pi_0 g: \pi_0 X \rightarrow S$  such that the following diagram commutes:*

$$\begin{array}{ccc} & X & \\ p_X \swarrow & & \searrow g \\ (\pi_0 X)^\delta & \xrightarrow{\pi_0 g} & S^\delta. \end{array}$$

7.5.1. **Corollary.** *For any morphism  $g: X \rightarrow Y$  between simplicial sets, there exists a unique set map*

$$\pi_0 g: \pi_0 X \rightarrow \pi_0 Y$$

such that following diagram commutes:

$$\begin{array}{ccc} X & \xrightarrow{g} & Y \\ p_X \downarrow & & \downarrow p_Y \\ (\pi_0 X)^\delta & \xrightarrow{(\pi_0 g)^\delta} & (\pi_0 Y)^\delta. \end{array}$$

7.5.2. **Corollary.** *The assignment  $X \mapsto \pi_0 X$  defines a functor  $\mathbf{sSet} \rightarrow \mathbf{Set}$  that is left adjoint to the functor given by the assignment  $S \mapsto S^\delta$ .*

7.6. **Proposition.** *For any simplicial sets  $X$  and  $Y$ , the two maps  $X \times Y \rightarrow X$  and  $X \times Y \rightarrow Y$  together induce a bijection*

$$\pi_0(X \times Y) \xrightarrow{\cong} \pi_0 X \times \pi_0 Y.$$

7.7. **Proposition.** *For any family  $\{X_i\}$  of numerically generated spaces, the inclusions  $X_i \hookrightarrow \coprod_i X_i$  together induce a bijection*

$$\prod_i \pi_0(X_i) \cong \pi_0 \left( \prod_i X_i \right).$$

7.8. **Definition.** Suppose  $X$  a simplicial set and  $Y$  a Kan complex. We will say that two morphisms  $p, q: X \rightarrow Y$  are *homotopic* if the images of  $p$  and  $q$  in  $\pi_0 \text{Map}(X, Y)$  are equal. In this case we write  $p \simeq q$ .

7.9. **Lemma.** *Suppose  $X$  a simplicial set and  $Y$  a Kan complex. Two morphisms  $p, q: X \rightarrow Y$  of simplicial sets are homotopic just in case there exists a map*

$$h: X \times \Delta^1 \rightarrow Y$$

such that one has

$$h|(X \times \Delta^{\{0\}}) = p \quad \text{and} \quad h|(X \times \Delta^{\{1\}}) = q.$$

7.10. **Definition.** We say that a morphism  $\phi: X \rightarrow Y$  of simplicial sets is a *homotopy equivalence* if there exists a map  $\psi: Y \rightarrow X$  such that both  $\psi \circ \phi \simeq \text{id}_X$  and  $\phi \circ \psi \simeq \text{id}_Y$ .

7.11. **Proposition.** *A homotopy equivalence  $X \rightarrow Y$  between simplicial sets induces a bijection*

$$\pi_0 X \xrightarrow{\cong} \pi_0 Y.$$

7.12. **Definition.** Suppose  $Y$  a Kan complex, and suppose  $X' \subset X$  a simplicial subset. We say that  $p$  and  $q$  are *homotopic relative to  $X'$*  if there exists a morphism

$$h: X \times \Delta^1 \rightarrow Y$$

such that

$$h|(X \times \Delta^{\{0\}}) = p \quad \text{and} \quad h|(X \times \Delta^{\{1\}}) = q,$$

and  $h|(X' \times \Delta^1)$  factors through the projection  $X' \times \Delta^1 \rightarrow X'$ .

7.13. **Definition.** Suppose  $X$  a Kan complex. Consider the equivalence relation  $\sim_1$  on the set  $X_1$  generated by declaring two 1-simplices  $\tau, v \in X_1$  to be equivalent if the corresponding maps  $\tau, v: \Delta^1 \rightarrow X$  are homotopic relative to  $\partial\Delta^1$ .

Define a groupoid  $\Pi_1 X$  as follows. The objects of  $\Pi_1 X$  are vertices of  $X$ , and for any vertices  $x, y \in X_0$ , the set  $\text{Isom}_{\Pi_1 X}(x, y)$  is the set of equivalence classes of 1-simplices.

7.14. **Example.** For any groupoid  $\Gamma$ , there is an isomorphism of groupoids

$$\Gamma \cong \Pi_1(N\Gamma).$$

7.15. **Proposition.** Suppose  $X$  a Kan complex. Then the following are equivalent for two 1-simplices  $\tau, v \in X_1$ .

(7.15.1)  $\tau \sim_1 v$ .

(7.15.2) There exists a 2-simplex  $\eta$  such that  $d_0(\eta) = \tau$  and  $d_1(\eta) = v$ , and  $d_2(\eta)$  is degenerate.

(7.15.3) There exists a 2-simplex  $\eta$  such that  $d_1(\eta) = \tau$  and  $d_0(\eta) = v$ , and  $d_2(\eta)$  is degenerate.

(7.15.4) There exists a 2-simplex  $\eta$  such that  $d_1(\eta) = \tau$  and  $d_2(\eta) = v$ , and  $d_0(\eta)$  is degenerate.

(7.15.5) There exists a 2-simplex  $\eta$  such that  $d_2(\eta) = \tau$  and  $d_1(\eta) = v$ , and  $d_0(\eta)$  is degenerate.

7.16. **Proposition.** Suppose  $X$  a Kan complex. Then there exists a unique morphism  $p_X: X \rightarrow N\Pi_1 X$  of simplicial sets such that  $p_{X,0}$  is the identity map from the set  $X_0$  to the set of objects of  $\Pi_1 X$ , and  $p_{X,1}$  is the projection from  $X_1 \rightarrow X_1 / \sim_1$ .

7.17. **Theorem.** Suppose  $X$  a simplicial set. Then the morphism  $p_X$  has the following universal property: for any groupoid  $\Gamma$  and any morphism  $g: X \rightarrow N\Gamma$ , there exists a unique morphism of groupoids  $\Pi_1 g: \Pi_1 X \rightarrow \Gamma$  such that the following diagram

commutes:

$$\begin{array}{ccc} & X & \\ p_X \swarrow & & \searrow g \\ N\Pi_1 X & \xrightarrow{\quad \Pi_1 g \quad} & \Gamma. \end{array}$$

7.17.1. **Corollary.** For any morphism  $g: X \rightarrow Y$  between simplicial sets, there exists a unique morphism of groupoids

$$\Pi_1 g: \Pi_1 X \rightarrow \Pi_1 Y$$

such that following diagram commutes:

$$\begin{array}{ccc} X & \xrightarrow{g} & Y \\ p_X \downarrow & & \downarrow p_Y \\ N\Pi_1 X & \xrightarrow{N\Pi_1 g} & \Pi_1 Y. \end{array}$$

7.17.2. **Corollary.** The assignment  $X \mapsto \Pi_1 X$  defines a functor  $\mathbf{Kan} \rightarrow \mathbf{Gpd}$  that is left adjoint to the functor given by the assignment  $\Gamma \mapsto N\Gamma$ .

7.18. **Proposition.** For any simplicial sets  $X$  and  $Y$ , the two maps  $X \times Y \rightarrow X$  and  $X \times Y \rightarrow Y$  together induce an isomorphism

$$\Pi_1(X \times Y) \xrightarrow{\sim} \Pi_1 X \times \Pi_1 Y.$$

7.19. **Proposition.** For any family  $\{X_i\}$  of numerically generated spaces, the inclusions  $X_i \hookrightarrow \coprod_i X_i$  together induce an isomorphism

$$\prod_i \Pi_1(X_i) \cong \Pi_1 \left( \prod_i X_i \right).$$

7.20. **Definition.** Suppose  $X$  a Kan complex, and suppose  $m$  a natural number. Consider the morphism  $\text{cosk}_{m+1} X \rightarrow \text{cosk}_m X$ , and consider the simplicial subset  $X^{(m)} \subset \text{cosk}_m X$  whose set of  $k$ -simplices is the image of the set map  $(\text{cosk}_{m+1} X)_k \rightarrow (\text{cosk}_m X)_k$ .

Now let  $\sim_m$  be the equivalence relation on the simplices of  $X^{(m)}$  generated by declaring two  $k$ -simplices  $\tau, \nu \in (X^{(m)})_k$  to be equivalent if the corresponding morphisms  $\tau, \nu: \text{sk}_m \Delta^k \rightarrow X$  are homotopic relative to  $\text{sk}_{m-1} \Delta^k$ .

Now let  $\Pi_m X$  denote the simplicial set whose  $k$  simplices are given by the set of equivalence classes

$$(\Pi_m X)_k := (X^{(m)})_k / \sim_k.$$



There is a natural morphism  $X \rightarrow X^{(m)}$ , and thus a morphism  $p_X: X \rightarrow \Pi_m X$ .

7.21. **Proposition.** *For any Kan complex  $X$ , the simplicial set  $\Pi_m X$  is an  $m$ -groupoid.*

7.22. **Example.** *For any  $m$ -groupoid  $X$ , there is an isomorphism*

$$X \cong \Pi_m X.$$

7.23. **Example.** *For any Kan complex  $X$ , one has*

$$\Pi_0 X \cong (\pi_0 X)^\delta.$$

7.24. **Example.** *For any Kan complex  $X$ , one has*

$$\Pi_1 X \cong N\Pi_1 X.$$

(Note the abuse of notation.)

7.25. **Theorem.** *Suppose  $X$  a simplicial set and  $m$  a natural number. Then the morphism  $p_X$  has the following universal property: for any  $m$ -groupoid  $Y$  and any morphism  $g: X \rightarrow Y$ , there exists a unique morphism of groupoids  $\Pi_m g: \Pi_m X \rightarrow Y$  such that the following diagram commutes:*

$$\begin{array}{ccc} & X & \\ p_X \swarrow & & \searrow g \\ \Pi_m X & \xrightarrow{\Pi_m g} & Y. \end{array}$$

7.25.1. **Corollary.** *For any natural number  $m$  and any morphism  $g: X \rightarrow Y$  between simplicial sets, there exists a unique morphism of  $m$ -groupoids*

$$\Pi_m g: \Pi_m X \rightarrow \Pi_m Y$$

such that following diagram commutes:

$$\begin{array}{ccc} X & \xrightarrow{g} & Y \\ p_X \downarrow & & \downarrow p_Y \\ \Pi_m X & \xrightarrow{\Pi_m g} & \Pi_m Y. \end{array}$$

7.25.2. **Corollary.** *For any natural number  $m$ , the assignment  $X \mapsto \Pi_m X$  defines a functor  $\mathbf{Kan} \rightarrow {}_m\mathbf{Gpd}$  that is left adjoint to the inclusion functor  ${}_m\mathbf{Gpd} \hookrightarrow \mathbf{Kan}$ .*

7.26. **Proposition.** For any natural number  $m$  and any simplicial sets  $X$  and  $Y$ , the two maps  $X \times Y \rightarrow X$  and  $X \times Y \rightarrow Y$  together induce an isomorphism

$$\Pi_m(X \times Y) \simeq \Pi_m X \times \Pi_m Y.$$

7.27. **Proposition.** For any natural number  $m$  and any family  $\{X_i\}$  of numerically generated spaces, the inclusions  $X_i \hookrightarrow \coprod_i X_i$  together induce an isomorphism

$$\prod_i \Pi_m(X_i) \cong \Pi_m \left( \prod_i X_i \right).$$

## 8. THE SINGULAR SIMPLICIAL SET

8.1. **Lemma.** For any object  $J \in \Delta$ , order the set  $\Delta_J^1 = \text{Mor}(J, [1])$  so that for any  $\sigma, \tau: J \rightarrow [1]$ , one has  $\sigma < \tau$  just in case there exists  $j \in J$  such that

$$\sigma(j) < \tau(j).$$

Then  $\Delta_J^1$  is totally ordered and contains a minimum and maximum element, and for any morphism  $K \rightarrow J$  in  $\Delta$ , the induced map

$$\Delta_J^1 \rightarrow \Delta_K^1$$

preserves the order and minimum and maximum elements.

8.2. **Definition.** Define a functor

$$\Delta_{\text{top}}^\bullet: \Delta \rightarrow \mathbf{Num}$$

as follows: for any object  $J \in \Delta$ , let

$$\Delta_{\text{top}}^J \subset \text{Map}((\Delta_J^1)^\delta, I)$$

be the subspace consisting of those maps that preserve the order and minimum and maximum elements.

Now for any numerically generated space  $X$ , the *singular simplicial set* or *Poincaré  $\infty$ -groupoid*  $\Pi_\infty(X)$  is the simplicial set defined by the formula

$$\Pi_\infty(X)_J := \text{Map}(\Delta_{\text{top}}^J, X).$$

This defines a functor

$$\Pi_\infty: \mathbf{Num} \rightarrow \mathfrak{s}\mathbf{Set}.$$

8.3. **Theorem.** For any numerically generated space  $X$ , the simplicial set  $\Pi_\infty(X)$  is, in fact, an  $\infty$ -groupoid.

8.4. **Theorem.** Two maps  $\phi, \psi: X \rightarrow Y$  of numerically generated spaces are homotopic if and only if the corresponding morphisms

$$\Pi_\infty(\phi), \Pi_\infty(\psi): \Pi_\infty X \rightarrow \Pi_\infty Y$$

are homotopic.

8.5. **Theorem.** For any numerically generated space  $X$ , there is a natural bijection

$$\pi_0 X \cong \pi_0 \Pi_\infty(X).$$

8.6. **Theorem.** For any numerically generated space  $X$ , there is a natural equivalence of groupoids

$$\Pi_1 X \simeq \Pi_1 \Pi_\infty(X) \simeq N\Pi_1 \Pi_\infty(X).$$

8.7. **Definition.** For any integer  $m \geq 2$  and any numerically generated space  $X$ , write  $\Pi_m(X)$  for the  $m$ -groupoid  $\Pi_m \Pi_\infty(X)$ .

8.8. **Definition.** For any simplicial set  $X$ , let  $\sim$  be the equivalence relation on the coproduct

$$\coprod_{n \geq 0} (X_n^\delta \times \Delta_{\text{top}}^n)$$

generated by declaring that for any morphism  $\phi: [m] \rightarrow [n]$  of  $\Delta$  and for any  $(\sigma, x) \in X_n^\delta \times \Delta_{\text{top}}^m$ , one has

$$(X(\phi)(\sigma), x) \sim (\sigma, \Delta_{\text{top}}^\bullet(\phi)(x)).$$

The *geometric realization* of  $X$  is the (numerically generated) quotient space

$$X_{\text{top}} := \left( \coprod_{n \geq 0} (X_n^\delta \times \Delta_{\text{top}}^n) \right) / \sim.$$

This defines a functor  $(\cdot)_{\text{top}}: \mathfrak{s}\mathbf{Set} \rightarrow \mathbf{Num}$ .

8.9. **Proposition.** The geometric realization functor  $(\cdot)_{\text{top}}$  is left adjoint to the Poincaré  $\infty$ -groupoid functor  $\Pi_\infty$ ; that is, for any simplicial set  $X$  and any numerically generated space  $Y$ , there is a natural bijection

$$\text{Map}(X_{\text{top}}, Y) \cong \text{Mor}(X, \Pi_\infty(Y)).$$