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# DESCENT PROBLEMS FOR ALGEBRAIC $K$ -THEORY

by

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*We'll give you a complex, and we'll give it a name.* — A. BIRD

These are notes for the “Basic Notions Seminar/Faculty Colloquium,” 30 November 2009, organized by S.-T. Yau at Harvard. The standard caveats apply here: (1) These notes are very informal, and most proofs are sketched or omitted completely; even when I’m giving details, I’m skipping details. (2) Some of the ideas appear to be new, but none of the *good* ideas are mine, and all interesting results should be ascribed to others. (3) All errors are mine, and I’m duly ashamed. Really, I am.

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## 1. The Dedekind zeta function and the Dirichlet regulator

1.1. — Suppose  $F$  a number field, with

$$[F : \mathbf{Q}] = n = r_1 + 2r_2,$$

where  $r_1$  is the number of real embeddings, and  $r_2$  is the number of complex embeddings. Write  $\mathcal{O}_F$  for the ring of integers of  $F$ .

1.2. — Here’s the power series for the *Dedekind zeta function*:

$$\zeta_F(s) = \sum_{0 \neq I \triangleleft \mathcal{O}_F} \#(\mathcal{O}_F/I)^{-s}.$$

1.3. — Here are a few key analytical facts about this power series:

(1.3.1) This power series converges absolutely for  $\Re(s) > 1$ .

(1.3.2) The function  $\zeta_F(s)$  can be analytically continued to a meromorphic function on  $\mathbf{C}$  with a simple pole at  $s = 1$ .

(1.3.3) There is the *Euler product expansion*:

$$\zeta_F(s) = \prod_{0 \neq p \in \text{Spec } \mathcal{O}_F} \frac{1}{1 - \#(\mathcal{O}_F/p)^{-s}}.$$

(1.3.4) The Dedekind zeta function satisfies the following *functional equation*. Set

$$\xi_F(s) := \left( \frac{|\Delta_F|}{2^{2r_2} \pi^n} \right)^{s/2} \Gamma\left(\frac{s}{2}\right)^{r_1} \Gamma(s)^{r_2} \zeta_F(s),$$

where  $\Delta_F$  is the discriminant of  $F$  (so that the volume of the fundamental domain of  $\mathcal{O}_F$  in  $F \otimes_{\mathbf{Q}} \mathbf{R}$  is  $\sqrt{|\Delta_F|}$ ). Then

$$\xi_F(1-s) = \xi_F(s).$$

(1.3.5) If  $m$  is a positive integer,  $\zeta_F(s)$  has a (possible) zero at  $s = 1 - m$  of order

$$d_m = \begin{cases} r_1 + r_2 - 1 & \text{if } m = 1; \\ r_1 + r_2 & \text{if } m > 1 \text{ is odd;} \\ r_2 & \text{if } m > 1 \text{ is even.} \end{cases}$$

Its *special value* at  $s = 1 - m$  is

$$\zeta_F^*(1-m) = \lim_{s \rightarrow 1-m} (s+m-1)^{-d_m} \zeta_F(s),$$

the first nonzero coefficient of the Taylor expansion around  $1 - m$ .

1.4. — Our interest is in these special values of  $\zeta_F(s)$  at  $s = 1 - m$ . When  $m = 1$ , Dirichlet discovered an arithmetic interpretation of the special value  $\zeta_F^*(0)$ , which we will briefly discuss.

1.5. — The *Dirichlet regulator map* is the logarithmic embedding

$$\rho_F^D : \mathcal{O}_F^\times / \mu_F \longrightarrow \mathbf{R}^{r_1+r_2-1},$$

where  $\mu_F$  is the group of roots of unity of  $F$ . The covolume of the image lattice is the the *Dirichlet regulator*  $R_F^D$ .

**Theorem 1.6 (Dirichlet Analytic Class Number Formula).** — *The order of vanishing of  $\zeta_F(s)$  at  $s = 0$  is the rank  $\#\mu_F$ , and the special value of  $\zeta_F(s)$  at  $s = 0$  is given by the formula*

$$\zeta_F^*(0) = -\frac{\#\text{Pic } \mathcal{O}_F}{\#\mu_F} R_F^D.$$

1.7. — Using what we know about the lower  $K$ -theory, we have

$$K_0(\mathcal{O}_F) \cong \mathbf{Z} \oplus \text{Pic } \mathcal{O}_F \quad \text{and} \quad K_1(\mathcal{O}_F) \cong \mathcal{O}_F^\times.$$

So the Dirichlet Analytic Class Number Formula reads:

$$\zeta_F^*(0) = -\frac{\#{}^\tau K_0(\mathcal{O}_F)}{\#{}^\tau K_1(\mathcal{O}_F)} R_F^D,$$

where  ${}^\tau A$  denotes the torsion subgroup of the abelian group  $A$ .

**Example 1.8.** — If  $F = \mathbf{Q}$ , the  $\zeta_F(s)$  is the *Riemann zeta function*  $\zeta(s)$ . In this case, of course,  $r_1 = 1$ , and  $r_2 = 0$ . The Dirichlet regulator map is the map from a 0-dimensional lattice to a 0-dimensional vector space. Hence  $R_{\mathbf{Q}}^D = 1$ .

It follows from the functional equation that the simple pole of  $\Gamma(s)$  at  $s = 1$  with residue 1 gives

$$\zeta(0) = -\frac{1}{2}.$$

The Dirichlet Analytic Class Number Formula therefore encodes the observation that the class number of  $\mathbf{Q}$  is 1, and  $\mathbf{Q}$  contains 2 roots of unity.

Of course  $\zeta(s)$  is nonzero for  $s = 1 - m$  if  $m = 2k$  for an integer  $k > 0$ . In fact, the functional equation, combined with Euler's computation of  $\zeta(2k)$  for positive integers  $k$ , yields:

$$\zeta(1-2k) = -\frac{B_{2k}}{2k},$$

where the  $B_{2k}$  are the *Bernoulli numbers*, given by the Taylor coefficients:

$$\frac{x}{e^x - 1} = \sum_{m \geq 0} B_m \frac{x^m}{m!}.$$

One has the recursion

$$B_m = - \sum_{\ell=0}^{m-1} \frac{1}{m+1} \binom{m+1}{\ell} B_\ell.$$

When  $m = 2k + 1$  for an integer  $k \geq 0$ , however,  $\zeta(s)$  has a zero of order 1 at  $s = 1 - m$ , and the functional equation relates the special value  $\zeta^*(1 - m)$  to the value  $\zeta(m)$ , *viz.*:

$$\zeta^*(-2k) = (-1)^k \frac{\pi^{2k}}{2^{2k+1}} (2k)! \zeta(2k + 1).$$

There are no classical computations of  $\zeta(2k + 1)$  yet, though Apéry showed that  $\zeta(3)$  is irrational.

What, you may ask, is the arithmetic significance of these numbers?

*Example 1.9.* — Suppose  $F = \mathbf{Q}(\sqrt{2})$ . Then  $r_1 = 2$  and  $r_2 = 0$ . The Dirichlet regulator map is the logarithmic embedding of a one-dimensional lattice into a one-dimensional vector space, so the covolume is the logarithm of the fundamental unit:  $\log(1 + \sqrt{2})$ .

The class number of  $\mathbf{Q}(\sqrt{2})$  is 1, and  $\mathbf{Q}(\sqrt{2})$  contains only 2 roots of unity, so the Dirichlet Analytic Class Number Formula gives

$$\zeta_{\mathbf{Q}(\sqrt{2})}^*(0) = -\frac{1}{2} \log(1 + \sqrt{2}).$$

In fact, this is part of a general phenomenon. If  $F = \mathbf{Q}(\sqrt{\Delta})$  is a quadratic number field of discriminant  $\Delta$ , then one may use the Euler product expansion to show that

$$(1.9.1) \quad \zeta_{\mathbf{Q}(\sqrt{\Delta})}(s) = \zeta(s) L(\chi_\Delta, s),$$

where  $L(\chi_\Delta, s)$  is the  $L$ -function of Legendre-Kronecker character  $\chi_\Delta(n) = (\Delta|n)$ :

$$L(\chi_\Delta, s) := \prod_{0 \neq p \in \text{Spec } \mathbf{Z}} \frac{1}{1 - (\Delta|p) p^{-s}}.$$

Thus when  $\Delta = 2$ , we are left with the assertion that the  $L$ -function

$$L(\chi_2, s) = \prod_{0 \neq p \in \text{Spec } \mathbf{Z}} \frac{1}{1 - (-1)^{\frac{p^2-1}{8}} p^{-s}}$$

vanishes to order 1 at  $s = 0$ , and the special value

$$L^*(\chi_2, 0) = \log(1 + \sqrt{2}).$$

*Example 1.10.* — Suppose now  $F = \mathbf{Q}(\sqrt{-5})$ ; then  $r_1 = 0$ , and  $r_2 = 1$ ; so again the Dirichlet regulator is 1, and the special value of  $\zeta_{\mathbf{Q}(\sqrt{-5})}(s)$  at  $s = 0$  is the value. In addition, there are two roots of unity in  $\mathbf{Q}(\sqrt{-5})$ , and its class number is 2. Hence we are left with

$$\zeta_{\mathbf{Q}(\sqrt{-5})}(0) = -1,$$

and thus by the identity (1.9.1),

$$L(\chi_{-5}, 0) = 2.$$

## 2. The Borel regulator and the ur-Lichtenbaum conjecture

2.1. — Let us keep the notations from the previous section.

**Theorem 2.2 (Borel).** — *If  $m > 0$  is even, then  $K_m(\mathcal{O}_F)$  is finite.*

2.3. — In the early 1970s, A. Borel constructed the *Borel regulator maps*, using the structure of the homology of  $\mathrm{SL}_n(\mathcal{O}_F)$ . These are homomorphisms

$$\rho_{F,m}^B : K_{2m-1}(\mathcal{O}_F) \longrightarrow \mathbf{R}^{d_m},$$

one for every integer  $m > 0$ , generalizing the Dirichlet regulator (which is the Borel regulator when  $m = 1$ ). Borel showed that for any integer  $m > 0$  the kernel of  $\rho_{F,m}^B$  is finite, and that the induced map

$$\rho_{F,m}^B \otimes \mathbf{R} : K_{2m-1}(\mathcal{O}_F) \otimes \mathbf{R} \longrightarrow \mathbf{R}^{d_m}$$

is an isomorphism. That is, the rank of  $K_{2m-1}(\mathcal{O}_F)$  is equal to the order of vanishing

$$d_m = \begin{cases} r_1 + r_2 - 1 & \text{if } m = 1; \\ r_1 + r_2 & \text{if } m > 1 \text{ is odd;} \\ r_2 & \text{if } m > 1 \text{ is even.} \end{cases}$$

of the Dedekind zeta function  $\zeta_F(s)$  at  $s = 1 - m$ . Hence the image of  $\rho_{F,m}^B$  is a lattice in  $\mathbf{R}^{d_m}$ ; its covolume is called the *Borel regulator*  $R_{F,m}^B$ .

Borel showed that the special value of  $\zeta_F(s)$  at  $s = 1 - m$  is a rational multiple of the Borel regulator  $R_{F,m}^B$ , viz.:

$$\zeta_F^*(1 - m) = Q_{F,m} R_{F,m}^B.$$

Lichtenbaum was led to give the following conjecture in around 1971, which gives a conjectural description of  $Q_{F,m}$ .

**Conjecture 2.4 (ur-Lichtenbaum).** — *For any integer  $m > 0$ ,*

$$|\zeta_F^*(1 - m)| \stackrel{(2)}{=} \frac{\#K_{2m-2}(\mathcal{O}_F)}{\#K_{2m-1}(\mathcal{O}_F)} R_{F,m}^B.$$

(Here the notation  $\stackrel{(2)}{=}$  indicates that one has equality up to powers of 2.)

2.5. — I have used the word “conjecture” here for historical reasons, but it seems very likely that this result is now known, and that it is the result of the Voevodsky–Rost Theorem.

**Example 2.6.** — Let us examine the case  $F = \mathbf{Q}$ . What we see is that information about  $\zeta$ -values gives information about the  $K$ -theory, and information about  $K$ -groups gives information about  $\zeta$ -values.

The value of the Borel regulator  $R_{\mathbf{Q},m}^B$  for  $m = 2k$  is 1. The ur-Lichtenbaum Conjecture thus states that

$$\frac{|B_{2k}|}{2k} \stackrel{(2)}{=} \frac{\#K_{4k-2}(\mathbf{Z})}{\#K_{4k-1}(\mathbf{Z})}.$$

This result is now known even more precisely: it is known that

$$\frac{|B_{2k}|}{4k} = \frac{\#K_{4k-2}(\mathbf{Z})}{\#K_{4k-1}(\mathbf{Z})},$$

and, moreover, if

$$\frac{|B_{2k}|}{4k} = \frac{c_k}{d_k}, \quad (c_k, d_k) = 1,$$

then the orders of the corresponding  $K$ -groups

$$\#K_{4k-2}(\mathbf{Z}) = \begin{cases} c_k & \text{if } k \text{ is even;} \\ 2c_k & \text{if } k \text{ is odd;} \end{cases} \quad \text{and} \quad \#K_{4k-1}(\mathbf{Z}) = \begin{cases} d_k & \text{if } k \text{ is even;} \\ 2d_k & \text{if } k \text{ is odd.} \end{cases}$$

For  $m = 2k + 1$  for an integer  $k > 0$ , the situation requires more care. The Borel regulator is somewhat difficult to compute. As it happens, up to a multiple of 2,  $R_{\mathbf{Q},m}^B$  is the  $m$ -fold polylogarithm evaluated on a generator of  $K_{2m-1}(\mathbf{Q})$ . The ur-Lichtenbaum Conjecture is then the assertion that

$$|\pi^{2k}(2k)!\zeta(2k+1)| \stackrel{(2)}{=} \frac{\#^\tau K_{4k}(\mathbf{Z})}{\#^\tau K_{4k+1}(\mathbf{Z})} R_{\mathbf{Q},2k+1}^B.$$

Now the Voevodsky–Rost Theorem implies that for any integer  $k > 0$ ,

$$K_{4k+1}(\mathbf{Z}) = \mathbf{Z} \oplus \mathbf{Z}/2^{(k+1) \bmod 2} \mathbf{Z}.$$

Kurihara has shown that Vandiver’s Conjecture is equivalent to the claim that  $K_{4k}(\mathbf{Z}) = 0$ . Given this, the ur-Lichtenbaum Conjecture becomes the claim that

$$|\zeta(2k+1)| \stackrel{(2)}{=} \frac{R_{\mathbf{Q},2k+1}^B}{\pi^{2k}(2k)!}.$$

The Vandiver Conjecture further implies that  $K_{4k-2}(\mathbf{Z})$  and  $K_{4k-1}(\mathbf{Z})$  are each cyclic. Thus the Vandiver Conjecture is equivalent to the following computation of  $K_*(\mathbf{Z})$ :

$$K_i(\mathbf{Z}) = \begin{cases} \mathbf{Z} & \text{if } i = 0; \\ \mathbf{Z}/2\mathbf{Z} & \text{if } i = 1; \\ \mathbf{Z}/2^{k \bmod 2} c_k \mathbf{Z} & \text{if } i = 4k - 2, k > 0; \\ \mathbf{Z}/2^{k \bmod 2} d_k \mathbf{Z} & \text{if } i = 4k - 1, k > 0; \\ 0 & \text{if } i = 4k, k > 0; \\ \mathbf{Z} \oplus \mathbf{Z}/2^{(k+1) \bmod 2} \mathbf{Z} & \text{if } i = 4k + 1, k > 0. \end{cases}$$

### 3. Étale K-theory and the Quillen–Lichtenbaum conjecture

A consequence of the main conjecture of Iwasawa theory is the following.

**Theorem 3.1 (Mazur–Wiles, Wiles).** — *Suppose  $F$  a totally real number field, and suppose  $m$  even. Then*

$$|\zeta_F(1-m)| \stackrel{(2)}{=} \frac{\#H_{\text{ét}}^2(\mathcal{O}_F, \mathbf{Z}(m))}{\#H_{\text{ét}}^0(F, \mathbf{Q}/\mathbf{Z}(m))}$$

**Theorem 3.2 (Kolster).** — *Suppose  $F$  is an abelian number field. Then*

$$|\zeta_F^*(1-m)| \stackrel{(2)}{=} \frac{\#H_{\text{ét}}^2(\mathcal{O}_F, \mathbf{Z}(m))}{\#H_{\text{ét}}^0(F, \mathbf{Q}/\mathbf{Z}(m))} R_{F,m}^B.$$

Results such as those above suggest that the Dedekind zeta function has to do with étale cohomology. Hence one may suspect that the ur-Lichtenbaum Conjecture has a cohomological interpretation. This is indeed true.

But let us recall the famous computation of Quillen.

**Theorem 3.3 (Quillen).** —

$$K_i(\mathbf{F}_q) \cong \begin{cases} \mathbf{Z} & \text{if } i = 0; \\ 0 & \text{if } i = 2m \text{ and } m \geq 1; \\ \mathbf{Z}/(q^m - 1)\mathbf{Z} & \text{if } i = 2m - 1 \text{ and } m \geq 1. \end{cases}$$

**3.4.** — For  $i \geq 1$ ,

$$K_i(\mathbf{F}_q) \cong \begin{cases} H_{\text{ét}}^2(\mathbf{F}_q, \mathbf{Z}(m)) & \text{if } i = 2m; \\ H_{\text{ét}}^0(\mathbf{F}_q, \mathbf{Q}/\mathbf{Z}(m)) & \text{if } i = 2m - 1. \end{cases}$$

This is compatible with the formula

$$\zeta_{\mathbb{F}_q}(s) = \frac{1}{1 - q^{-s}}.$$

so that

$$\zeta_{\mathbb{F}_q}(-m) = -\frac{\#H_{\text{ét}}^2(\mathcal{O}_F, \mathbf{Z}(m))}{\#H_{\text{ét}}^0(F, \mathbf{Q}/\mathbf{Z}(m))}.$$

What, you may be tempted to ask, accounts for the shift by one here?

**3.5.** — The assignment  $\mathcal{K} : X \mapsto K(X)$  defines a presheaf of spectra on the category  $(\text{Sch}/S)$  of noetherian schemes of finite Krull dimension over a fixed noetherian base scheme  $S$  of finite Krull dimension. For any integer  $m > 0$ , one may also consider the presheaf of spectra on  $(\text{Sch}/S)$  given by mod  $m$   $K$ -theory

$$\mathcal{K}/m : X \mapsto K(X, \mathbf{Z}/m\mathbf{Z}).$$

We may ask whether  $\mathcal{K}$  (or one of its relatives) satisfies hyperdescent with respect to various interesting topologies  $\tau$  on  $(\text{Sch}/S)$ . If it does, then one has a convergent descent spectral sequence

$$H_{\tau}^i(X, \mathcal{K}_j) \implies K_{j-i}(X).$$

The answer depends a lot on the topology.

**Theorem 3.6 (Thomason).** — *The presheaves  $\mathcal{K}$  and  $\mathcal{K}/m$  satisfy Zariski — and even Nisnevich — descent on the category of noetherian  $S$ -schemes of finite Krull dimension. If the prime  $\ell$  is invertible on  $S$ , then the Bott-inverted mod  $\ell^{\vee}$   $K$ -theory  $\mathcal{K}/\ell^{\vee}[\beta^{-1}]$  satisfies étale descent on this category. Likewise, the presheaf  $\mathcal{K} \wedge H\mathbf{Q}$  satisfies étale descent.*

**3.7.** — Neither  $\mathcal{K}$  nor  $\mathcal{K}/m$  satisfies étale hyperdescent, even if  $m$  is invertible on the base scheme  $S$ . Let  $\mathcal{K}^{\text{ét}}$  and  $\mathcal{K}^{\text{ét}}/m$  denote the hypersheafification of these presheaves on the small étale site of  $S$ . There is, nevertheless, the following conjectural generalization of the ur-Lichtenbaum Conjecture.

**Conjecture 3.8 (Quillen–Lichtenbaum).** — *Suppose  $m$  invertible on  $S$ , and suppose  $d$  the étale cohomological dimension (with  $\mathbf{Z}/m\mathbf{Z}$  coefficients) of  $S$ . Then the natural morphism*

$$\mathcal{K}/m \longrightarrow \mathcal{K}^{\text{ét}}/m$$

*induces isomorphisms*

$$K_i(S, \mathbf{Z}/m\mathbf{Z}) \longrightarrow H_{\text{ét}}^{-i}(S, \mathcal{K}^{\text{ét}}/m)$$

*for  $i > d$ .*

**3.9.** — Let's see how this conjecture plays out in the case of a field. First, we have the following result of Suslin.

**Theorem 3.10 (Suslin).** — *Suppose  $F$  an algebraically closed field of characteristic not  $\ell$ . Then*

$$K(F)_{\ell}^{\wedge} \simeq ku_{\ell}^{\wedge}.$$

**Conjecture 3.11 (Quillen–Lichtenbaum,  $\ell$ -complete version for fields).** — *Suppose  $F$  a field (not necessarily a number field), not of characteristic  $\ell$ , of ( $\ell$ -adic) cohomological dimension  $d$ . Suppose  $G_F$  the absolute Galois group of  $F$ . The canonical morphisms*

$$K(F) \simeq K(\overline{F})^{G_F} \longrightarrow K(\overline{F})^{bG_F}$$

*have equivalent  $(d+1)$ -connective covers after  $\ell$ -completion; that is, the homomorphisms*

$$K_i(F)_{\ell}^{\wedge} \longrightarrow \pi_i \left( \left( K(\overline{F})_{\ell}^{\wedge} \right)^{bG_F} \right)$$

*are isomorphisms for  $i > d$ .*

3.12. — There is a well-known homotopy fixed point spectral sequence

$$H^{-s}(G_F, \pi_t(ku_\ell^\wedge)) \cong H^{-s}(G_F, \pi_t(K(\overline{F})_\ell^\wedge)) \implies \pi_{s+t}\left(\left(K(\overline{F})_\ell^\wedge\right)^{bG_F}\right),$$

which therefore converges to  $K_{s+t}(F)_\ell^\wedge$  for  $s+t > d$  if the Quillen–Lichtenbaum Conjecture holds. One can truncate this spectral sequence, leading us to the following refinement of the Quillen–Lichtenbaum Conjecture.

**Conjecture 3.13 (Beilinson-Lichtenbaum).** — *There is a convergent spectral sequence*

$$E_{s,t}^2 = \begin{cases} H^{-s}(G_F, \pi_t(ku_\ell^\wedge)) & \text{if } s + 2t \geq 0; \\ 0 & \text{else,} \end{cases}$$

whose abutment is  $K_{s+t}(F)_\ell^\wedge$ .

3.14. — This last conjecture offers specific control over all the  $K$ -groups, but there seems to be no filtration on the spectrum  $K(F)_\ell^\wedge$  yielding this spectral sequence, and no interpretation of the  $E^2$  page as arising from the composition of two functors.

#### 4. Equivariant stable homotopy theory and Carlsson’s conjecture

4.1. — Suppose  $F$  of finite ( $\ell$ -adic) cohomological dimension, and suppose  $X$  a geometrically connected variety over  $F$ . One may consider  $X$  with the trivial  $G_F$  action, yielding a  $G_F$ -equivariant  $E_\infty$  ring spectrum  $\mathbf{K}(A_F; X)$  whose Green functor  $\pi_*\mathbf{K}(A_F; X)$  assigns to any orbit  $(G_F/H)$  the  $K$ -theory of the category  $\text{Rep}_X[H]$  of variations of representations of  $H$  over  $X$ ; in particular,

$$\pi_*^{\{1\}}\mathbf{K}(A_F; X) \cong K_*(X) \quad \text{and} \quad \pi_*^{G_k}\mathbf{K}(A_F; X) \cong K_*\text{Rep}_X[G_F].$$

One can also use the canonical action of  $G_F$  on  $\overline{X} := X \times_{\text{Spec } F} \text{Spec } \overline{F}$  to obtain a  $G_F$ -equivariant  $E_\infty$  ring spectrum  $\mathbf{K}(A_F; \overline{X})$  whose Green functor  $\pi_*\mathbf{K}(A_F; \overline{X})$  assigns to any orbit  $(G_F/H)$  the  $K$ -theory of  $X \times_{\text{Spec } F} \text{Spec } (\overline{F}^H)$ . In particular,

$$\pi_*^{\{1\}}\mathbf{K}(A_F; \overline{X}) \cong K_*(\overline{X}) \quad \text{and} \quad \pi_*^{G_F}\mathbf{K}(A_F; \overline{X}) \cong K_*(X).$$

Base change gives an equivariant  $E_\infty$  morphism

$$\alpha : \mathbf{K}(A_F; X) \longrightarrow \mathbf{K}(A_F; \overline{X}).$$

If  $\ell$  is a prime with  $1/\ell \in \mathcal{O}_X$ , then by abuse, write  $\mathbf{Z}/\ell$  for the *constant* Green functor for  $G_F$  at  $\mathbf{Z}/\ell$ . Now the *mod*  $\ell$  rank yields a triangle:

$$\begin{array}{ccc} \mathbf{K}(A_F; X) & \xrightarrow{\alpha} & \mathbf{K}(A_F; \overline{X}) \\ & \searrow & \swarrow \\ & H(\mathbf{Z}/\ell) & \end{array}$$

We can therefore form the completion (or *derived completion* in Carlsson’s terminology) of both  $\mathbf{K}(A_F; X)$  and  $\mathbf{K}(A_F; \overline{X})$  along  $H(\mathbf{Z}/\ell)$ , yielding an equivariant  $E_\infty$  morphism

$$\alpha_\ell^\wedge : \mathbf{K}(A_F; X)_\ell^\wedge \longrightarrow \mathbf{K}(A_F; \overline{X})_\ell^\wedge.$$

Carlsson’s objective is to study this morphism in the fully equivariant context, thereby eliminating the ad hoc cohomological dimension bound in the  $\ell$ -complete Quillen–Lichtenbaum conjecture.

**Theorem 4.2** (—, partly with Grace Lyo, conjectured for fields by Carlsson, [?, 4.3.9])

The morphism  $\alpha_\ell^\wedge$  of the completions is an equivalence of  $G_F$ -equivariant  $E_\infty$  ring spectra. In particular, the  $G_F$ -fixed point spectrum  $(\mathbf{K}(A_F; \overline{X})_\ell^\wedge)^{G_F}$  coincides with the  $\ell$ -adic completion  $\mathbf{K}(X)_\ell^\wedge$ , so that the  $G_F$ -fixed points of  $\alpha_\ell^\wedge$  are an equivalence

$$(\mathbf{K}(A_F; X)_\ell^\wedge)^{G_F} \simeq \mathbf{K}(X)_\ell^\wedge.$$

I will shortly turn to a description of the proof of this result. But before I do, observe that it remains to find an interpretation of the left hand side in this formula.

**Conjecture 4.3.** — If  $F$  is of  $\ell$ -adic cohomological dimension  $d$ , then the  $G_F$ -fixed point spectrum  $(\mathbf{K}(A_F; X)_\ell^\wedge)^{G_F}$  and the homotopy fixed point spectrum  $(K(\overline{X})_\ell^\wedge)^{hG_F}$  have naturally equivalent  $(d+1)$ -connective covers.

**4.4.** — It is useful to have a clear idea of what sort of objects we are dealing with. Classically, Mackey functors are additive functors indexed on a Burnside category, obtained by taking a group completion of a semi-additive category of spans. The  $\infty$ -categorical set-up is slightly more complicated than the classical description of the Burnside category.

Suppose  $G$  a profinite group. A  $G$ -space  $K$  will be said to be *finite* if it has finitely many components and if the isotropy subgroup is open. Denote by  $B^b(G)^{\text{fin}}$  the full subcategory of the  $\infty$ -topos  $B^b(G)$  of  $G$ -sets spanned by the finite  $G$ -spaces.

Define the *semiexcisive Burnside*  $\infty$ -category  $\mathcal{B}_G^+$  in the following manner.

(4.4.1) The objects are finite  $G$ -spaces.

(4.4.2) A morphism  $K \longrightarrow M$  of finite  $G$ -spaces is a diagram

$$K \longleftarrow L \longrightarrow M$$

in  $B^b(G)$ .

(4.4.3) Given two such diagrams

$$K \longleftarrow L \longrightarrow M \quad \text{and} \quad M \longleftarrow N \longrightarrow P,$$

their composition is defined (up to a contractible choice) as the top of the pullback

$$\begin{array}{ccccc} & & L \times_M N & & \\ & \swarrow & & \searrow & \\ & L & & N & \\ \swarrow & & & & \searrow \\ K & & M & & P. \end{array}$$

**4.5.** — Observe that the product  $- \times -$  in  $B^b(G)^{\text{fin}}$  defines a symmetric monoidal structure on  $\mathcal{B}_G^+$ ; note that the product of is *not* the cartesian product in  $\mathcal{B}_G^+$ ; as a result, let us denote this symmetric monoidal structure by  $\otimes$ .

**4.6.** — Note also that there are two faithful, symmetric monoidal functors

$$\ell : B^b(G)^{\text{fin,op}} \longrightarrow \mathcal{B}_G^+ \quad \text{and} \quad r : B^b(G)^{\text{fin}} \longrightarrow \mathcal{B}_G^+$$

that are each the identity on objects. Now a (spectral) Mackey functor for  $G$  is a functor  $F : \mathcal{B}_G^+ \longrightarrow \mathcal{S}p$  satisfying the following properties.

(4.6.1) The functor  $F$  sends the zero object of  $\mathcal{B}_G^+$  to an initial object.

(4.6.2) The functor  $\ell^* F : B^b(G)^{\text{fin,op}} \longrightarrow D$  sends pushout squares of finite  $G$ -spaces to pushout squares in  $D$ .

(4.6.3) The functor  $r^* F : B^b(G)^{\text{fin}} \longrightarrow D$  sends pushout squares of finite  $G$ -spaces to pushout squares in  $D$ .

The  $\infty$ -category of Mackey functors for  $G$  will be denoted  $\mathcal{Mack}_G$ .



4.7. — By construction,  $\mathcal{Mack}_G$  is a presentable, stable  $\infty$ -category. The full subcategory  $\mathcal{Mack}_{G, \geq 0}$  generated under extensions and colimits by the essential image of the functor

$$\Sigma^\infty : \text{Adm}(\mathcal{B}_G^+, \mathcal{S}) \longrightarrow \text{Adm}(\mathcal{B}_G^+, \mathcal{S}p) \simeq \mathcal{Mack}_G$$

defines an accessible  $t$ -structure on  $\mathcal{Mack}_G$ ; this  $t$ -structure is both left and right complete. The heart  $\mathcal{Mack}_G^\heartsuit$  of this  $t$ -structure is an abelian category of “classical” Mackey functors for the 1-truncation of  $G$ ; there are corresponding functors  $\pi_n : \mathcal{Mack}_G \longrightarrow \mathcal{Mack}_G^\heartsuit$ .

4.8. — Given a Mackey functor  $A$  for  $G$ , one can define associated functors

$$A^* := \ell^* A : B^b(G)^{\text{fin,op}} \longrightarrow \mathcal{S}p \quad \text{and} \quad A_* := r^* A : B^b(G)^{\text{fin}} \longrightarrow \mathcal{S}p,$$

the first of which is contra-excisive, the second of which is excisive. This defines two “forgetful” functors

$$(-)^* : \mathcal{Mack}_G \longrightarrow \text{Exc}_{\text{op}}(B^b(G)^{\text{fin,op}}, \mathcal{S}p) \quad \text{and} \quad (-)_* : \mathcal{Mack}_G \longrightarrow \text{Exc}(B^b(G)^{\text{fin}}, \mathcal{S}p).$$

Thus a Mackey functor for  $G$  splices together a homology theory for finite  $G$ -spaces together with a cohomology theory for finite  $G$ -spaces using a *base-change formula*; indeed, we see immediately that for any Mackey functor  $A$  for  $G$  and any pullback square

$$\begin{array}{ccc} & L \times_M N & \\ f \swarrow & & \searrow g \\ L & & N \\ g \searrow & & \swarrow f \\ & M & \end{array}$$

of  $B^b(G)^{\text{fin}}$ , one must have a canonical homotopy

$$f^* g_* \simeq g_* f^* : A(L) \longrightarrow A(N).$$

4.9. — The tensor product  $- \otimes -$  of Mackey functors is given by the Day convolution product, and it precisely codifies the interaction of the pullback and pushforward morphisms with the multiplicative structure that one sees in algebraic  $K$ -theory. The  $\infty$ -category  $\mathcal{Mack}_G$  is *closed symmetric monoidal* with respect to the Day convolution product; consequently, there is a rich theory of  $A_\infty$  and  $E_\infty$  ring spectra in  $\mathcal{Mack}_G$ .

A *Green functor* is ordinarily defined as a monoid in the symmetric monoidal category of Mackey functors. But our Mackey functors are homotopical in nature; so instead we should ask for a *homotopy coherent monoid*. A *Green functor for  $G$*  is an  $A_\infty$  algebra in the symmetric monoidal category  $\mathcal{Mack}_G$  of Mackey functors over  $S$ . More generally, for any operad  $\mathcal{P}$ , one may define a  *$\mathcal{P}$ -Green functor for  $G$*  simply as a  $\mathcal{P}$ -algebra in  $\mathcal{Mack}_G$ .

Now the data of a Green functor is the data of a Mackey functor  $A$  for  $G$  and a homotopy-coherently associative pairing

$$A(L) \wedge A(M) \longrightarrow A(L \odot M)$$

for every pair of finite  $G$ -spaces  $L$  and  $M$ , and a unit morphism

$$S^0 \longrightarrow A(\star).$$

There are in particular two functors attached to  $A$ , namely,

$$A^* : B^b(G)^{\text{fin,op}} \longrightarrow \mathcal{S}p \quad \text{and} \quad A_* : B^b(G)^{\text{fin}} \longrightarrow \mathcal{S}p,$$

and the homotopy associative and unital pairing on  $A$  can be viewed as two morphisms of spectra

$$A^*(L) \wedge A^*(M) \longrightarrow A^*(L \odot M) \quad \text{and} \quad A_*(L) \wedge A_*(M) \longrightarrow A_*(L \odot M),$$

each of which is natural in  $L$  and  $M$ .

We internalize this external tensor product by pulling back along the diagonal functor; hence for any object  $K \in B^b(G)^{\text{fin}}$ , the spectrum  $A(K)$  is an  $A_\infty$  algebra. The pullback functors all preserve this structure, so

$$A^* : B^b(G)^{\text{fin,op}} \longrightarrow \mathcal{S}p$$

can be viewed as a presheaf of  $A_\infty$  ring spectra.

On the other hand, the pushforward maps all preserve the external product, but not necessarily its internalization. It therefore follows that for any morphism  $f : L \rightarrow M$ , the morphism

$$f_* : A_*(L) \rightarrow A_*(M)$$

is a morphism of  $A_*(M)$ -modules.

**4.10.** — Let us comment on the structure of the proof, as it is relevant to what follows. Assume from now on that  $F$  is perfect, and  $X$  is smooth. (This is not strictly necessary, but it simplifies the presentation.) D. Grayson introduced a filtration on the  $K$ -theory of  $X$ :

$$\dots \rightarrow W^2(X) \rightarrow W^1(X) \rightarrow W^0(X) = K(X),$$

whose successive quotients  $W^{j/j+1}(X)$  are (at least rationally) pure of weight  $j$ . This filtration is a descending sequence of  $(E_\infty)$  ideals in  $K(X)$ . Moreover, the filtration on  $K_*(X)$  given by the spectral sequence

$$E_2^{p,q} = \pi_{p+q} W^{q/q+1}(X) \Rightarrow K_{p+q}(X)$$

coincides rationally with the  $\gamma$ -filtration on  $K_*(X)$ .

In particular, the first quotient  $W^{0/1}(X)$  is  $H\mathbf{Z}$ , and in general, the spectra  $W^{j/j+1}(X)$  are  $(j+1)$ -truncated, and it follows from work of Suslin that

$$\pi_{2j-i} W^{j/j+1}(X) \cong H_{\text{mot}}^i(X, \mathbf{Z}(j)).$$

For our purposes here, we shall regard this left hand homotopy group as the *definition* of motivic cohomology, despite the fact that there is another “official” definition.

The key point is that: (1) this filtration can be defined equivariantly, and (2) one can use ideas of equivariant derived algebraic geometry to study the map  $\alpha_\ell^\wedge$  on the various quotients.

**Example 4.11.** — Let us now return to the Dedekind zeta function of a number field  $F$ . In that case, there is a motivic reformulation of the Lichtenbaum conjecture:

$$|\zeta_F^*(1-m)| \stackrel{(2)}{=} \frac{\#^\tau H_{\text{mot}}^2(\mathcal{O}_F, \mathbf{Z}(m))}{\#^\tau H_{\text{mot}}^1(\mathcal{O}_F, \mathbf{Z}(m))} R_{F,m}^B.$$

To avoid the ambiguity at 2, one should use the Beilinson regulator instead.

## 5. Beilinson’s conjectures on special values of $L$ -functions

**5.1.** — Suppose now that  $F$  is a number field and that  $X$  is a smooth proper variety of dimension  $n$  over  $F$ ; denote by  $S$  its places of bad reduction. Write  $\bar{X} := X \times_{\text{Spec } F} \text{Spec } \bar{F}$ . Now for every nonzero prime  $p \in \text{Spec } \mathcal{O}_F$ , we may choose a prime  $q \in \text{Spec } \mathcal{O}_{\bar{F}}$  lying over  $p$ , and we can contemplate the decomposition subgroup  $D_q \subset G_F$  and the inertia subgroup  $I_q \subset D_q$ .

Now if  $\ell$  is a prime over which  $p$  does not lie and  $0 \leq i \leq 2n$ , then the inverse  $\phi_q^{-1}$  of the arithmetic Frobenius  $\phi_q \in D_q/I_q$  acts on the  $I_q$ -invariant subspace  $H^i(\bar{X}, \mathbf{Q}_\ell)^{I_q}$  of the  $\ell$ -adic cohomology  $H^i(\bar{X}, \mathbf{Q}_\ell)$ . We can contemplate the characteristic polynomial of this action:

$$P_p(i, x) := \det \left( 1 - x \phi_q^{-1} \Big|_{H^i(\bar{X}, \mathbf{Q}_\ell)^{I_q}} \right) \in \mathbf{Q}_\ell[x].$$

One sees that  $P_p(i, x)$  does not depend on the particular choice of  $q$ .

**Conjecture 5.2 (Serre).** — *The polynomial  $P_p(i, x)$  has integer coefficients that are independent of  $\ell$ .*

**5.3.** — This conjecture follows from the Weil conjectures if  $p \notin S$ , and this is known for almost all  $p$ . We now *assume* this conjecture for all nonzero primes  $p \in \text{Spec } \mathcal{O}_F$ . This permits us to define the *local  $L$ -factor* at the corresponding finite place  $v(p)$ :

$$L_{v(p)}(X, i, s) := \frac{1}{P_p(i, p^{-s})}$$

5.4. — We can also define local  $L$ -factors at infinite places. For this, we define Gamma factors

$$\Gamma_{\mathbf{R}}(s) := \pi^{-s/2} \Gamma\left(\frac{s}{2}\right) \quad \text{and} \quad \Gamma_{\mathbf{C}}(s) := \Gamma_{\mathbf{R}}(s) \Gamma_{\mathbf{R}}(s+1) = 2(2\pi)^{-s} \Gamma(s),$$

and for any infinite place  $\nu$  corresponding to an embedding  $\sigma : F \rightarrow \mathbf{C}$ , we set

$$L_{\nu}(X, i, s) := \begin{cases} \prod_{0 \leq m < i/2} \Gamma_{\mathbf{C}}(s-m)^{b^{m,i-m}} & \text{if } i \text{ is odd} \\ \Gamma_{\mathbf{R}}\left(s - \frac{i}{2}\right)^{b^+} \Gamma_{\mathbf{R}}\left(s - \frac{i}{2} + 1\right)^{b^-} \prod_{0 \leq m < i/2} \Gamma_{\mathbf{C}}(s-m)^{b^{m,i-m}} & \text{if } i \text{ is even,} \end{cases}$$

where  $b^{m,i-m}$  is the Hodge number of  $H^i((X \times_{\text{Spec } F, \sigma} \text{Spec } \mathbf{C})(\mathbf{C}), \mathbf{Q})$ , and  $b^+$  and  $b^-$  are the dimensions of the  $(-1)^{i/2}$  and the  $-(-1)^{i/2}$  eigenspaces of  $H^{i/2, i/2}$ , respectively.

5.5. — With these local  $L$ -factors, we define the  $L$ -function of  $X$  via the Euler product expansion

$$L(X, i, s) := \prod_{\mathfrak{p} \in \text{Spec } \mathcal{O}_F} L_{\nu(\mathfrak{p})}(X, i, s);$$

this product converges absolutely for  $\Re(s) \gg 0$ . We also define the  $L$ -function at the infinite prime

$$L_{\infty}(X, i, s) := \prod_{\nu | \infty} L_{\nu}(X, i, s)$$

the full  $L$ -function

$$\Lambda(X, i, s) = L_{\infty}(X, i, s) L(X, i, s).$$

5.6. — Here are the expected analytical properties of the  $L$ -function of  $X$ .

(5.6.1) The Euler product converges absolutely for  $\Re(s) > \frac{i}{2} + 1$ .

(5.6.2)  $L(X, i, s)$  admits a meromorphic continuation to the complex plane, and the only possible pole occurs at  $s = \frac{i}{2} + 1$  for  $i$  even.

(5.6.3)  $L(X, i, \frac{i}{2} + 1) \neq 0$ .

(5.6.4) There is a functional equation

$$\Lambda(X, i, s) = \varepsilon(X, i, s) \Lambda(X, i, i+1-s).$$

**Conjecture 5.7 (Beilinson).** — Suppose  $r > i/2 + 1$ . Then the Beilinson regulator  $\rho$  induces an isomorphism

$$\rho \otimes \mathbf{R} : H_{\text{mot}}^{i+1}(X, \mathbf{Z}(r)) \otimes \mathbf{R} \rightarrow H_{\mathcal{G}}^{i+1}(X, \mathbf{R}(r)),$$

and the image of the induced homomorphism  $\det H_{\text{mot}}^{i+1}(X, \mathbf{Z}(r)) \rightarrow \det H_{\mathcal{G}}^{i+1}(X, \mathbf{R}(r))$  is equal to

$$L^*(X, i, i-r+1) \cdot B_{i,r},$$

where

$$B_{i,r} = \det\left(H_{\mathcal{B}}^i(X_{\mathbf{R}}, \mathbf{Q}(r-1))\right) \otimes \det\left(F^r H_{\text{dR}}^i(X)\right)^{\vee}$$

is the  $\mathbf{Q}$ -structure guaranteed by Hodge theory.